

Form 210A (10/06)

United States Bankruptcy Court Southern District Of New York

In re Lehman Brothers Holdings Inc., et al.,

Case No. 08-13555 (JMP)
(Jointly Administered)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee

Deutsche Bank AG, London Branch

Name of Transferor

The Royal Bank of Scotland Plc

Name and Address where notices to transferee should be sent:

Deutsche Bank AG, London Branch
Winchester House, 1 Great Winchester Street
London EC2N 2DB
Tel: +44 20 7547 2400
Fax: +44 113 336 2010
Attention: Michael Sutton
E-mail: Michael.sutton@db.com

Court Claim # (if known): See attached Schedule

Amount of Claim (transferred):

(i) See attached Schedule

(plus all interest, costs and fees relating to these claims)

Date Claim Filed: See attached Schedule

Tel: N/A

Last Four Digits of Acct. #: N/A

Last Four Digits of Acct. #: N/A

Name and Address where transferee payments should be sent (if different from above):

Tel: +44 20 7547 2400
Last Four Digits of Acct #: N/A

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: 

Transferee/Transferee's Agent

Date: 14 JUNE 2017

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Alex Darbyshire
Vice President

Simon Glennie
Director

ISIN/CUSIP	LBHI Claim Number	Date Claim Filed	Principal/Notional Amount
XS0331504745	40647	Oct 16, 2009	USD 130,000.00
XS0326608279	41347	Oct 19, 2009	USD 140,000.00
XS0326708491	42084	Oct 19, 2009	USD 420,000.00
XS0349511872	42161	Oct 19, 2009	USD 400,000.00
XS0331769538	43050	Oct 21, 2009	USD 250,000.00
XS0327940929	43114	Oct 21, 2009	AUD 130,000.00
XS0334922860	43809	Oct 22, 2009	HKD 500,000.00
XS0326608279	44051	Oct 22, 2009	USD 90,000.00
XS0348407411	44052	Oct 22, 2009	HKD 900,000.00
XS0339560293	44075	Oct 22, 2009	USD 130,000.00
XS0334922860	44190	Oct 22, 2009	HKD 100,000.00
XS0337553688	44192	Oct 22, 2009	USD 100,000.00
XS0331400530	44193	Oct 22, 2009	USD 20,000.00
XS0331400027	44194	Oct 22, 2009	USD 20,000.00
XS0331769538	44195	Oct 22, 2009	USD 40,000.00
XS0327940929	44291	Oct 22, 2009	AUD 150,000.00
XS0339560293	44292	Oct 22, 2009	USD 170,000.00
XS0339560376	44293	Oct 22, 2009	HKD 370,000.00
XS0336927909	44297	Oct 22, 2009	USD 200,000.00
XS0341160082	44530	Oct 22, 2009	USD 50,000.00
XS0326026498	44532	Oct 22, 2009	HKD 1,000,000.00
XS0331400530	44993	Oct 23, 2009	USD 300,000.00
XS0336415913	44994	Oct 23, 2009	USD 260,000.00
XS0331400027	44995	Oct 23, 2009	USD 300,000.00
XS0326608279	44996	Oct 23, 2009	USD 330,000.00
XS0349511872	44997	Oct 23, 2009	USD 250,000.00
XS0331400530	45130	Oct 23, 2009	USD 100,000.00
XS0331769454	45132	Oct 23, 2009	USD 300,000.00
XS0338525172	45133	Oct 23, 2009	USD 180,000.00
XS0337553688	45134	Oct 23, 2009	USD 380,000.00
XS0341160082	45135	Oct 23, 2009	USD 380,000.00
XS0326026498	45136	Oct 23, 2009	HKD 2,900,000.00
XS0327940929	45246	Oct 23, 2009	AUD 200,000.00
XS0336415913	45263	Oct 23, 2009	USD 700,000.00
XS0336927909	45271	Oct 23, 2009	USD 110,000.00
XS0326608279	45273	Oct 23, 2009	USD 130,000.00
XS0331769538	45275	Oct 23, 2009	USD 130,000.00
XS0325786084	45276	Oct 23, 2009	HKD 3,000,000.00
XS0331769454	45289	Oct 23, 2009	USD 160,000.00
XS0326026225	45296	Oct 23, 2009	USD 70,000.00
XS0326708491	45396	Oct 23, 2009	USD 150,000.00
XS0331769538	45401	Oct 23, 2009	USD 100,000.00
XS0331769538	45405	Oct 23, 2009	USD 430,000.00
XS0348407411	45443	Oct 23, 2009	HKD 500,000.00
XS0349512250	45444	Oct 23, 2009	HKD 300,000.00
XS0334922860	45445	Oct 23, 2009	HKD 200,000.00
XS0331769454	45447	Oct 23, 2009	USD 40,000.00
XS0336927909	45597	Oct 23, 2009	USD 100,000.00
XS0348407411	45751	Oct 26, 2009	HKD 3,000,000.00
XS0348407411	45752	Oct 26, 2009	HKD 1,000,000.00
XS0336927909	45760	Oct 26, 2009	USD 100,000.00
XS0348407411	45766	Oct 26, 2009	HKD 1,000,000.00
XS0335743398	45767	Oct 26, 2009	HKD 500,000.00
XS0336645089	45768	Oct 26, 2009	USD 160,000.00
XS0348407411	45771	Oct 26, 2009	HKD 3,000,000.00
XS0349512250	45777	Oct 26, 2009	HKD 900,000.00
XS0326026225	45799	Oct 26, 2009	USD 30,000.00

XS0335743398	45800	Oct 26, 2009	HKD	520,000.00
XS0336250229	45801	Oct 26, 2009	USD	200,000.00
XS0326866307	45802	Oct 26, 2009	HKD	510,000.00
XS0328586515	45803	Oct 26, 2009	USD	20,000.00
XS0326708491	45804	Oct 26, 2009	USD	20,000.00
XS0331400027	45805	Oct 26, 2009	USD	20,000.00
XS0341160082	45806	Oct 26, 2009	USD	20,000.00
XS0336927909	45807	Oct 26, 2009	USD	20,000.00
XS0335743398	45814	Oct 26, 2009	HKD	2,000,000.00
XS0348407411	45824	Oct 26, 2009	HKD	4,000,000.00
XS0336415913	45836	Oct 26, 2009	USD	70,000.00
XS0326608279	45837	Oct 26, 2009	USD	100,000.00
XS0326026498	45838	Oct 26, 2009	HKD	800,000.00
XS0325786084	45839	Oct 26, 2009	HKD	800,000.00
XS0336250229	45843	Oct 26, 2009	USD	160,000.00
XS0326608352	45854	Oct 26, 2009	HKD	2,000,000.00
XS0335743398	45866	Oct 26, 2009	HKD	1,220,000.00
XS0332153807	45894	Oct 26, 2009	HKD	780,000.00
XS0326865671	45916	Oct 26, 2009	USD	100,000.00
XS0336415913	45918	Oct 26, 2009	USD	70,000.00
XS0326608352	45919	Oct 26, 2009	HKD	500,000.00
XS0329337348	45920	Oct 26, 2009	HKD	400,000.00
XS0349511872	46870	Oct 26, 2009	USD	100,000.00
XS0331769454	46892	Oct 26, 2009	USD	400,000.00
XS0335743398	46918	Oct 26, 2009	HKD	1,000,000.00
XS0326608352	46944	Oct 26, 2009	HKD	2,500,000.00
XS0338525172	47065	Oct 26, 2009	USD	160,000.00
XS0325786084	47351	Oct 26, 2009	HKD	1,200,000.00
XS0339560376	47352	Oct 26, 2009	HKD	500,000.00
XS0349512250	47353	Oct 26, 2009	HKD	1,200,000.00
XS0331504745	47361	Oct 26, 2009	USD	70,000.00
XS0334922860	47362	Oct 26, 2009	HKD	500,000.00
XS0336250229	47373	Oct 26, 2009	USD	80,000.00
XS0335743398	47374	Oct 26, 2009	HKD	500,000.00
XS0325786084	47380	Oct 26, 2009	HKD	500,000.00
XS0329337348	47501	Oct 27, 2009	HKD	300,000.00
XS0331400027	47608	Oct 27, 2009	USD	120,000.00
XS0326608352	47619	Oct 27, 2009	HKD	5,630,000.00
XS0326026225	47741	Oct 27, 2009	USD	80,000.00
XS0326866307	47742	Oct 27, 2009	HKD	2,100,000.00
XS0326026498	47750	Oct 27, 2009	HKD	400,000.00
XS0331400027	47751	Oct 27, 2009	USD	50,000.00
XS0335743398	47753	Oct 27, 2009	HKD	500,000.00
XS0339560376	47754	Oct 27, 2009	HKD	500,000.00
XS0326608279	47878	Oct 27, 2009	USD	200,000.00
XS0326608352	47879	Oct 27, 2009	HKD	1,500,000.00
XS0326866307	47979	Oct 27, 2009	HKD	540,000.00
XS0331400027	48604	Oct 27, 2009	USD	30,000.00
XS0331400530	48605	Oct 27, 2009	USD	30,000.00
XS0331769454	48606	Oct 27, 2009	USD	50,000.00
XS0335743398	48608	Oct 27, 2009	HKD	1,000,000.00
XS0339560293	48651	Oct 27, 2009	USD	80,000.00
XS0334922860	48652	Oct 27, 2009	HKD	470,000.00
XS0326608279	48667	Oct 27, 2009	USD	30,000.00
XS0328586515	48744	Oct 27, 2009	USD	200,000.00
XS0331769538	49430	Oct 27, 2009	USD	170,000.00
XS0336250229	49467	Oct 27, 2009	USD	200,000.00
XS0336927909	49518	Oct 27, 2009	USD	180,000.00
XS0326026498	49525	Oct 27, 2009	HKD	650,000.00

XS0326026225	49575	Oct 27, 2009	USD	130,000.00
XS0331769538	49580	Oct 27, 2009	USD	100,000.00
XS0331769454	49965	Oct 27, 2009	USD	150,000.00
XS0325841368	49966	Oct 27, 2009	USD	100,000.00
XS0336927909	50340	Oct 28, 2009	USD	180,000.00
XS0331769538	50382	Oct 28, 2009	USD	350,000.00
XS0334922860	50669	Oct 28, 2009	HKD	1,000,000.00
XS0331400530	50678	Oct 28, 2009	USD	140,000.00
XS0331400027	50684	Oct 28, 2009	USD	170,000.00
XS0336250229	50703	Oct 28, 2009	USD	200,000.00
XS0331400530	50835	Oct 28, 2009	USD	50,000.00
XS0326026498	50836	Oct 28, 2009	HKD	500,000.00
XS0335743398	50873	Oct 28, 2009	HKD	1,000,000.00
XS0331769538	50879	Oct 28, 2009	USD	120,000.00
XS0326026498	50885	Oct 28, 2009	HKD	1,500,000.00
XS0331400530	50889	Oct 28, 2009	USD	110,000.00
XS0331769454	50898	Oct 28, 2009	USD	130,000.00
XS0329337348	50899	Oct 28, 2009	HKD	1,000,000.00
XS0331769538	50904	Oct 28, 2009	USD	100,000.00
XS0369799845	51250	Oct 28, 2009	USD	75,000.00
XS0369799845	51251	Oct 28, 2009	USD	80,000.00
XS0348407411	51601	Oct 28, 2009	HKD	500,000.00
XS0334922860	51602	Oct 28, 2009	HKD	1,000,000.00
XS0349512250	51628	Oct 28, 2009	HKD	1,800,000.00
XS0327940929	51772	Oct 28, 2009	AUD	200,000.00
XS0331504745	51773	Oct 28, 2009	USD	130,000.00
XS0331769538	55132	Oct 29, 2009	USD	220,000.00
XS0369799845	55137	Oct 29, 2009	USD	65,000.00
XS0331400530	55154	Oct 29, 2009	USD	540,000.00
XS0349511872	55213	Oct 29, 2009	USD	500,000.00
XS0331400027	55214	Oct 29, 2009	USD	960,000.00
XS0349511872	55222	Oct 29, 2009	USD	150,000.00
XS0336250229	55282	Oct 29, 2009	USD	60,000.00
XS0325786084	55283	Oct 29, 2009	HKD	500,000.00
XS0326608279	56038	Oct 29, 2009	USD	200,000.00
XS0336645089	56521	Oct 29, 2009	USD	150,000.00
XS0331400530	56522	Oct 29, 2009	USD	140,000.00
XS0331504745	56727	Oct 29, 2009	USD	80,000.00
XS0336927909	56728	Oct 29, 2009	USD	70,000.00
XS0327940929	56967	Oct 29, 2009	AUD	280,000.00
XS0331769454	56968	Oct 29, 2009	USD	20,000.00
XS0325841368	57068	Oct 30, 2009	USD	100,000.00
XS0326608279	57070	Oct 30, 2009	USD	220,000.00
XS0332153807	57072	Oct 30, 2009	HKD	800,000.00
XS0327940929	57100	Oct 30, 2009	AUD	200,000.00
XS0348407411	57110	Oct 30, 2009	HKD	1,000,000.00
XS0336250229	57134	Oct 30, 2009	USD	50,000.00
XS0331400530	57135	Oct 30, 2009	USD	40,000.00
XS0326608436	57136	Oct 30, 2009	USD	50,000.00
XS0327859301	57137	Oct 30, 2009	HKD	580,000.00
XS0349511872	57187	Oct 30, 2009	USD	90,000.00
XS0336250229	57196	Oct 30, 2009	USD	20,000.00
XS0325841368	57198	Oct 30, 2009	USD	40,000.00
XS0326608279	57199	Oct 30, 2009	USD	30,000.00
XS0326608436	57209	Oct 30, 2009	USD	120,000.00
XS0339560376	57210	Oct 30, 2009	HKD	1,030,000.00
XS0336927909	57212	Oct 30, 2009	USD	100,000.00
XS0326608436	57213	Oct 30, 2009	USD	150,000.00
XS0334922860	57230	Oct 30, 2009	HKD	500,000.00

XS0325786084	57231	Oct 30, 2009	HKD	620,000.00
XS0326708491	57232	Oct 30, 2009	USD	40,000.00
XS0338525172	57235	Oct 30, 2009	USD	100,000.00
XS0331769538	57238	Oct 30, 2009	USD	130,000.00
XS0350571377	57257	Oct 30, 2009	USD	130,000.00
XS0326608279	57259	Oct 30, 2009	USD	130,000.00
XS0336415913	57260	Oct 30, 2009	USD	50,000.00
XS0331400530	57262	Oct 30, 2009	USD	70,000.00
XS0331504745	57263	Oct 30, 2009	USD	30,000.00
XS0336645089	57264	Oct 30, 2009	USD	150,000.00
XS0326608436	57265	Oct 30, 2009	USD	100,000.00
XS0336927909	57266	Oct 30, 2009	USD	210,000.00
XS0325786084	57269	Oct 30, 2009	HKD	1,000,000.00
XS0331400027	57270	Oct 30, 2009	USD	50,000.00
XS0327940929	57332	Oct 30, 2009	AUD	70,000.00
XS0325841368	57333	Oct 30, 2009	USD	70,000.00
XS0339560376	57718	Oct 30, 2009	HKD	500,000.00
XS0326866307	57719	Oct 30, 2009	HKD	500,000.00
XS0339560376	57721	Oct 30, 2009	HKD	200,000.00
XS0369799845	57722	Oct 30, 2009	USD	65,000.00
XS0332153807	57751	Oct 30, 2009	HKD	800,000.00
XS0326608436	57752	Oct 30, 2009	USD	80,000.00
XS0336645089	57753	Oct 30, 2009	USD	60,000.00
XS0337553688	57754	Oct 30, 2009	USD	30,000.00
XS0341160082	57755	Oct 30, 2009	USD	30,000.00
XS0349512250	60811	Nov 02, 2009	HKD	600,000.00
XS0331769454	60815	Nov 02, 2009	USD	210,000.00
XS0334922860	60836	Nov 02, 2009	HKD	250,000.00
XS0348407411	60837	Nov 02, 2009	HKD	700,000.00
XS0336927909	60842	Nov 02, 2009	USD	150,000.00
XS0331769454	60846	Nov 02, 2009	USD	150,000.00
XS0334922860	60852	Nov 02, 2009	HKD	890,000.00
XS0335743398	60853	Nov 02, 2009	HKD	1,000,000.00
XS0349511872	60854	Nov 02, 2009	USD	500,000.00
XS0326866307	60857	Nov 02, 2009	HKD	600,000.00
XS0335743398	60914	Nov 02, 2009	HKD	250,000.00
XS0332153807	60915	Nov 02, 2009	HKD	600,000.00
XS0329337348	60916	Nov 02, 2009	HKD	1,200,000.00
XS0326866307	60917	Nov 02, 2009	HKD	650,000.00
XS0326608352	60918	Nov 02, 2009	HKD	890,000.00
XS0325786084	60919	Nov 02, 2009	HKD	1,080,000.00
XS0326026498	60920	Nov 02, 2009	HKD	1,500,000.00
XS0349511872	60921	Nov 02, 2009	USD	130,000.00
XS0339560293	60922	Nov 02, 2009	USD	310,000.00
XS0337553688	60923	Nov 02, 2009	USD	60,000.00
XS0336927909	60924	Nov 02, 2009	USD	70,000.00
XS0336645089	60925	Nov 02, 2009	USD	210,000.00
XS0336250229	60928	Nov 02, 2009	USD	190,000.00
XS0331769538	60929	Nov 02, 2009	USD	50,000.00
XS0331769454	60930	Nov 02, 2009	USD	80,000.00
XS0331400027	60931	Nov 02, 2009	USD	50,000.00
XS0326608279	60932	Nov 02, 2009	USD	150,000.00
XS0325841368	60933	Nov 02, 2009	USD	250,000.00
XS0326865671	61149	Nov 02, 2009	USD	320,000.00
XS0328586515	61150	Nov 02, 2009	USD	300,000.00
XS0326866307	61312	Nov 02, 2009	HKD	500,000.00
XS0326865671	61319	Nov 02, 2009	USD	130,000.00
XS0331400027	62934	Nov 02, 2009	USD	70,000.00
XS0326026498	62935	Nov 02, 2009	HKD	400,000.00

XS0331769538	62936	Nov 02, 2009	USD	40,000.00
XS0336645089	62937	Nov 02, 2009	USD	190,000.00
XS0326866307	64670	Nov 04, 2009	HKD	600,000.00
XS0331400530	64857	Nov 05, 2009	USD	130,000.00
XS0326026498	67148	Oct 26, 2010	HKD	1,000,000.00

AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM
LEHMAN PROGRAM SECURITY

TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, **THE ROYAL BANK OF SCOTLAND PLC** ("Seller") hereby unconditionally and irrevocably sells, transfers and assigns to **DEUTSCHE BANK AG, LONDON BRANCH** (the "Purchaser"), and Purchaser hereby agrees to purchase, as of the date hereof, (a) an undivided interest, to the extent of the applicable percentage specified in Schedule 1 hereto, in Seller's right, title and interest in and to the Proof of Claim Numbers listed on Schedule 1 filed by or on behalf of Seller (a copy of which is attached at Schedule 2 hereto) (the "Proofs of Claim" and each a "Proof of Claim") as is specified in Schedule 1 hereto (the "Purchased Portion") against Lehman Brothers Holdings, Inc., as guarantor of the Purchased Security (as defined below) and debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"). (b) all rights and benefits of Seller relating to the Purchased Portion, including without limitation (i) any right to receive cash, securities, instruments, interest, damages, penalties, fees or other property, which may be paid or distributed with respect to the Purchased Portion or with respect to any of the documents, agreements, bills and/or other documents (whether now existing or hereafter arising) which evidence, create and/or give rise to or affect in any material way the Purchased Portion, whether under a plan or reorganization or liquidation, pursuant to a liquidation, or otherwise, (ii) any actions, claims (including, without limitation, "claims" as defined in Section 101(5) of Title 11 of the United States Code (the "Bankruptcy Code")), rights or lawsuits of any nature whatsoever, whether against the Debtor or any other party, arising out of or in connection with the Purchased Portion, (iii) any rights and benefits arising out of or in connection with any exhibit, attachment and/or supporting documentation relating to the Purchased Portion, and (iv) any and all of Seller's right, title and interest in, to and under the transfer agreements, if any, under which Seller or any prior seller acquired the rights underlying or constituting a part of the Purchased Portion, but only to the extent related to the Purchased Portion, (c) any and all proceeds of any of the foregoing (collectively, as described in clauses (a), (b), and (c), the "Transferred Claims"), and (d) the security or securities (any such security, a "Purchased Security") relating to the Purchased Portion and specified in Schedule 1 attached hereto. For the avoidance of doubt, Purchaser does not assume and shall not be responsible for any obligations or liabilities of the Seller to or in connection with the Transferred Claim, the Purchased Securities or the Proceedings.

2. Seller hereby represents and warrants to Purchaser that: (a) the Proofs of Claim were duly and timely filed on or before 5:00 p.m. (prevailing Eastern Time) on November 2, 2009 in accordance with the Court's order setting the deadline for filing proofs of claim in respect of "Lehman Program Securities"; (b) the Proofs of Claim relates to one or more securities expressly identified on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009; (c) Seller owns and has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by Seller or against Seller; or, alternatively, Seller has been duly authorized to sell, transfer and assign the Transferred Claims by the owner of Transferred Claims who has good and marketable title to the Transferred Claims, free and clear of any and all liens, claims, set-off rights, security interests, participations, or encumbrances created or incurred by such owner or against such owner; (d) Seller is duly authorized and empowered to execute and perform its obligations under this Agreement and Evidence of Transfer; (e) the Proofs of Claim includes the Purchased Portion specified in Schedule 1 attached hereto; (f) Seller has not engaged in, and, to the best of its knowledge, none of Seller's predecessors-in-interest (if any) in the past have engaged in, any acts, conduct or omissions, or had any relationship with the Debtor or its affiliates, that will result in Purchaser receiving in respect of the Transferred Claims proportionately less payments or distributions or less favorable treatment than other unsecured creditors; (g) a true and correct schedule of the 10th, 11th and 12th distributions paid to Seller by Lehman Brothers Holdings, Inc., appears attached hereto as Schedule 2; (h) to the best of its knowledge and based on its understanding of the Proceedings, the Transferred Claims are Class 5 Senior Third Party Guarantee Claims; and (i) a true and correct schedule of the 7th, 8th, 9th, and 10th distributions paid to Seller by Lehman Brothers Treasury Co. B.V. or its authorized agent(s), with respect to the securities relating to the Transferred Claims, appears attached hereto as Schedule 3.

3. Seller hereby waives any objection to the transfer of the Transferred Claims to Purchaser on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Seller by

Purchaser for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claims. Purchaser agrees to file a notice of transfer with the Court pursuant to Federal Rule of Bankruptcy Procedure 3001(e) including this Agreement and Evidence of Transfer of Claim. Seller acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Seller transferring to Purchaser the Transferred Claims, recognizing Purchaser as the sole owner and holder of the Transferred Claims, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Purchaser.

4. All representations, warranties, covenants and indemnities shall survive the execution, delivery and performance of this Agreement and Evidence of Transfer of Claim and the transactions described herein. Purchaser shall be entitled to transfer its rights hereunder without any notice to or the consent of Seller. Seller hereby agrees to indemnify, defend and hold Purchaser, its successors and assigns and its officers, directors, employees, agents and controlling persons harmless from and against any and all losses, claims, damages, costs, expenses and liabilities, including, without limitation, reasonable attorneys' fees and expenses, which result from Seller's breach of its representations and warranties made herein.

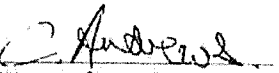
5. Seller shall promptly (but in any event no later than three (3) business days) remit any payments, distributions or proceeds received by Seller in respect of the Transferred Claims to Purchaser. Seller has transferred, or shall transfer as soon as practicable after the date hereof, to Purchaser each Purchased Security to such account, via Euroclear or Clearstream (or similar transfer method), as Purchaser may designate in writing to Seller. This Agreement and Evidence of Transfer supplements and does not supersede any confirmation, any other automatically generated documentation or any applicable rules of Euroclear or Clearstream (or similar transfer method) with respect to the purchase and sale of the Purchased Security.

6. Each of Seller and Purchaser agrees to (a) execute and deliver, or cause to be executed and delivered, all such other and further agreements, documents and instruments and (b) take or cause to be taken all such other and further actions as the other party may reasonably request to effectuate the intent and purposes, and carry out the terms, of this Agreement and Evidence of Transfer, including, without limitation, cooperating to ensure the timely and accurate filing of any amendment to the Proofs of Claim.


7. Seller's and Purchaser's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with the laws of the State of New York (without regard to any conflicts of law provision that would require the application of the law of any other jurisdiction). Seller and Purchaser each submit to the jurisdiction of the courts located in the County of New York in the State of New York. Each party hereto consents to service of process by certified mail at its address listed on the signature page below, unless otherwise required by the international treaties governing the service of process to the parties.

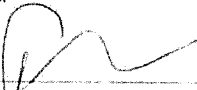
IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed
this 16 day of JUNE 2017.

THE ROYAL BANK OF SCOTLAND PLC

By: 
Name: **CHRIS ANDREWS**
Title: **AUTHORISED SIGNATORY**

DEUTSCHE BANK AG, LONDON BRANCH

By: 
Name: **Alex Darbyshire**
Title: **Vice President**

By: 
Name: **Simon Glennie**
Title: **Director**

Winchester House
1, Great Winchester Street
London EC2N 2DB
ENGLAND
Attn: Michael Sutton

Schedule I

Transferred Claims

Purchased Portion

100% of the claims that are referenced in the Proofs of Claim (as highlighted in the copy of the Proofs of Claim attached at Schedule 2) and relating to the Purchased Securities described in the attached spreadsheet (plus all interest, costs and fees relating to this claim).

See attached spreadsheet, which describes:

- Description of Security Issued by Lehman Brothers Treasury BV
- ISIN / CUSIP
- Blocking Number
- Issuer
- Guarantor
- LBHI Claim Number
- Principal / Notional Amount
- Allowed Amount
- Maturity
- 10th, 11th and 12th Distributions made by LBHI

Page 1

LB 2Y HKD Range Accrual Note \$947 21DEC09	C466774	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458001	HKD	524,000,000	USD	25,653.72	21DEC09	USD	215.38	USD	322.18	USD	183.25
LB 2Y USD Range Accrual Note \$948 21DEC09	C466775	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458002	USD	500,000,000	USD	88,794.87	21DEC09	USD	700.41	USD	998.40	USD	567.88
LB 2Y HKD Range Accrual Note \$949 27OCT09	C431939	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458003	HKD	510,000,000	USD	54,214.54	27OCT09	USD	464.27	USD	609.58	USD	346.72
LB 2Y USD Range Accrual Note \$950 16NOV09	C432904	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458004	USD	20,000,000	USD	10,331.28	16NOV09	USD	70.06	USD	91.99	USD	52.32
LB 2Y USD Range Accrual Note \$951 27OCT09	C432905	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458005	USD	20,000,000	USD	8,100.64	27OCT09	USD	88.54	USD	116.25	USD	66.12
LB 2Y USD Range Accrual Note \$952 23NOV09	C432906	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458006	USD	20,000,000	USD	11,299.56	23NOV09	USD	96.68	USD	126.93	USD	72.20
LB 2Y USD Range Accrual Note \$953 25JAN10	C432907	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458007	USD	20,000,000	USD	8,327.57	25JAN10	USD	74.74	USD	98.13	USD	55.81
LB 2Y USD Range Accrual Note \$954 29DEC09	C432908	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458008	USD	20,000,000	USD	11,628.55	29DEC09	USD	99.66	USD	130.86	USD	74.43
LB 2Y HKD Range Accrual Note \$947 21DEC09	C434772	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458009	HKD	2,000,000,000	USD	110,206.61	21DEC09	USD	2,451.77	USD	2,139.15	USD	704.82
LB 2Y HKD Range Accrual Note \$948 22OCT09	C434773	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458010	HKD	2,000,000,000	USD	297,864.65	22OCT09	USD	2,550.79	USD	3,349.13	USD	1,904.97
LB 2Y USD Range Accrual Note \$949 17DEC09	C434774	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458011	USD	70,000,000	USD	20,525.60	17DEC09	USD	175.77	USD	210.78	USD	131.27
LB 2Y HKD Range Accrual Note \$950 27OCT09	C434775	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458012	HKD	100,000,000	USD	46,735.71	27OCT09	USD	400.23	USD	525.49	USD	298.86
LB 2Y USD Range Accrual Note \$951 27OCT09	C434776	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458013	USD	800,000,000	USD	63,478.04	27OCT09	USD	543.58	USD	713.70	USD	405.95
LB 2Y HKD Range Accrual Note \$952 22OCT09	C434777	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458014	HKD	800,000,000	USD	55,375.00	22OCT09	USD	474.21	USD	622.63	USD	334.15
LB 2Y USD Range Accrual Note \$948 21DEC09	C435021	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458015	USD	160,000,000	USD	71,035.00	21DEC09	USD	608.32	USD	798.72	USD	454.31
LB 2Y HKD Range Accrual Note \$949 27OCT09	C435022	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458016	HKD	2,000,000,000	USD	120,227.32	27OCT09	USD	1,029.58	USD	1,381.82	USD	768.91
LB 2Y USD Range Accrual Note \$947 21DEC09	C435023	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458017	USD	1,250,000,000	USD	67,226.03	21DEC09	USD	575.70	USD	755.88	USD	429.94
LB 2Y HKD Range Accrual Note \$948 21NOV09	C435024	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458018	HKD	780,000,000	USD	56,401.99	21NOV09	USD	483.51	USD	634.84	USD	361.09
LB 2Y USD Range Accrual Note \$949 21OCT09	C435025	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458019	USD	100,000,000	USD	47,129.93	21OCT09	USD	403.60	USD	529.92	USD	301.41
LB 2Y HKD Range Accrual Note \$950 17DEC09	C435026	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458020	HKD	70,000,000	USD	20,525.60	17DEC09	USD	175.77	USD	210.78	USD	131.27
LB 2Y USD Range Accrual Note \$947 21DEC09	C435027	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458021	USD	500,000,000	USD	30,056.83	21DEC09	USD	337.95	USD	437.95	USD	192.22
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435028	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458022	HKD	400,000,000	USD	14,851.37	27OCT09	USD	127.18	USD	166.98	USD	94.98
LB 2Y USD Range Accrual Note \$949 27OCT09	C435029	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458023	USD	100,000,000	USD	58,363.23	27OCT09	USD	499.80	USD	656.23	USD	371.26
LB 2Y HKD Range Accrual Note \$950 18JAN10	C435030	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458024	HKD	1,000,000,000	USD	219,092.21	18JAN10	USD	1,876.24	USD	2,463.46	USD	1,401.20
LB 2Y USD Range Accrual Note \$947 21DEC09	C435031	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458025	USD	2,500,000,000	USD	150,354.15	21DEC09	USD	1,286.98	USD	1,689.78	USD	961.14
LB 2Y HKD Range Accrual Note \$948 16JAN10	C435032	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458026	HKD	160,000,000	USD	74,923.10	16JAN10	USD	641.61	USD	842.42	USD	479.17
LB 2Y USD Range Accrual Note \$949 27OCT09	C435033	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458027	USD	1,200,000,000	USD	83,062.91	27OCT09	USD	711.32	USD	933.94	USD	531.22
LB 2Y HKD Range Accrual Note \$950 22OCT09	C435034	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458028	HKD	500,000,000	USD	28,420.98	22OCT09	USD	243.81	USD	320.12	USD	182.08
LB 2Y USD Range Accrual Note \$947 21DEC09	C435035	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458029	USD	1,200,000,000	USD	80,931.37	21DEC09	USD	770.14	USD	1,011.18	USD	575.15
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435036	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458030	HKD	70,000,000	USD	31,830.35	27OCT09	USD	272.58	USD	357.89	USD	203.57
LB 2Y USD Range Accrual Note \$949 27OCT09	C435037	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458031	USD	500,000,000	USD	35,517.95	27OCT09	USD	288.92	USD	378.16	USD	215.10
LB 2Y HKD Range Accrual Note \$950 21DEC09	C435038	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458032	HKD	80,000,000	USD	27,551.65	21DEC09	USD	304.16	USD	399.36	USD	227.15
LB 2Y USD Range Accrual Note \$947 21DEC09	C435039	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458033	USD	500,000,000	USD	34,609.38	21DEC09	USD	235.94	USD	309.78	USD	176.20
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458034	HKD	500,000,000	USD	34,609.38	27OCT09	USD	296.38	USD	389.14	USD	221.34
LB 2Y USD Range Accrual Note \$949 27OCT09	C435041	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458035	USD	300,000,000	USD	11,138.53	27OCT09	USD	95.38	USD	125.24	USD	71.23
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435042	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458036	HKD	120,000,000	USD	67,179.37	23NOV09	USD	580.08	USD	761.63	USD	443.21
LB 2Y USD Range Accrual Note \$947 21DEC09	C435043	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458037	USD	5,000,000,000	USD	338,439.00	21DEC09	USD	2,808.29	USD	3,805.39	USD	2,164.49
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435044	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458038	HKD	80,000,000	USD	57,309.68	27OCT09	USD	405.92	USD	651.13	USD	370.36
LB 2Y USD Range Accrual Note \$949 27OCT09	C435045	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458039	USD	2,100,000,000	USD	223,236.34	27OCT09	USD	1,911.72	USD	2,510.05	USD	1,427.70
LB 2Y HKD Range Accrual Note \$950 23OCT09	C435046	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458040	HKD	400,000,000	USD	31,737.52	23OCT09	USD	271.79	USD	356.85	USD	202.97
LB 2Y USD Range Accrual Note \$947 21DEC09	C435047	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458041	USD	50,000,000	USD	28,233.40	21DEC09	USD	241.70	USD	317.34	USD	186.60
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435048	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458042	HKD	500,000,000	USD	27,551.65	27OCT09	USD	235.94	USD	309.78	USD	176.20
LB 2Y USD Range Accrual Note \$949 27OCT09	C435049	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458043	USD	500,000,000	USD	28,420.98	27OCT09	USD	213.81	USD	320.12	USD	182.08
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435050	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458044	HKD	200,000,000	USD	93,431.42	23NOV09	USD	800.46	USD	1,050.98	USD	597.79
LB 2Y USD Range Accrual Note \$947 21DEC09	C435051	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458045	USD	1,500,000,000	USD	90,170.49	21DEC09	USD	772.19	USD	1,013.86	USD	576.68
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435052	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458046	HKD	500,000,000	USD	57,309.68	27OCT09	USD	401.58	USD	645.44	USD	367.12
LB 2Y USD Range Accrual Note \$949 27OCT09	C435053	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458047	USD	30,000,000	USD	16,914.34	27OCT09	USD	145.02	USD	190.40	USD	108.30
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435054	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458048	HKD	30,000,000	USD	15,809.00	23NOV09	USD	131.62	USD	172.81	USD	98.29
LB 2Y USD Range Accrual Note \$947 21DEC09	C435055	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458049	USD	50,000,000	USD	27,386.59	21DEC09	USD	234.43	USD	307.93	USD	175.15
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435056	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458050	HKD	1,000,000,000	USD	55,103.30	27OCT09	USD	471.88	USD	619.57	USD	352.41
LB 2Y USD Range Accrual Note \$949 27OCT09	C435057	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458051	USD	50,000,000	USD	35,239.30	27OCT09	USD	301.86	USD	396.34	USD	225.43
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435058	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458052	HKD	470,000,000	USD	31,815.12	23NOV09	USD	270.74	USD	355.47	USD	202.19
LB 2Y USD Range Accrual Note \$947 21DEC09	C435059	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458053	USD	300,000,000	USD	14,020.71	21DEC09	USD	120.06	USD	157.64	USD	89.66
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435060	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458054	HKD	200,000,000	USD	81,810.78	27OCT09	USD	700.65	USD	919.94	USD	523.25
LB 2Y USD Range Accrual Note \$949 27OCT09	C435061	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458055	USD	170,000,000	USD	97,964.56	27OCT09	USD	794.56	USD	1,101.50	USD	626.53
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435062	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458056	HKD	200,000,000	USD	88,794.87	23NOV09	USD	760.41	USD	998.40	USD	567.88
LB 2Y USD Range Accrual Note \$947 21DEC09	C435063	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458057	USD	180,000,000	USD	104,746.93	21DEC09	USD	897.02	USD	1,177.76	USD	669.90
LB 2Y HKD Range Accrual Note \$948 27OCT09	C435064	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458058	HKD	650,000,000	USD	51,573.47	27OCT09	USD	441.65	USD	579.88	USD	329.84
LB 2Y USD Range Accrual Note \$949 27OCT09	C435065	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458059	USD	140,000,000	USD	94,103.24	27OCT09	USD	405.87	USD	1,058.08	USD	601.83
LB 2Y HKD Range Accrual Note \$950 23NOV09	C435066	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	458060	HKD	100,000,000	USD	57,626.21	23NOV09	USD	493.49	USD	647.94	USD	368.55

Schedule 1


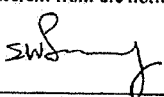
LB 1Y USD Arbrg Range Accrual Note S888 23NOV09	CA31655	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	409645	USD	150,000.00	USD	82,159.77	23NOV09	USD	703.59	USD	923.79	USD	525.45
LB 1Y USD Range Accrual Note S774 22OCT09	CA40691	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	409666	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.76	USD	353.05
LB 1Y USD Range Accrual Note S789 29DEC09	CA40691	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	503440	USD	180,000.00	USD	101,746.93	29DEC09	USD	897.02	USD	1,177.76	USD	669.90
LB 2Y USD Arbrg Range Accrual Note S889 23NOV09	CA35035	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	503832	USD	350,000.00	USD	201,891.73	23NOV09	USD	1,272.22	USD	2,247.80	USD	1,289.91
LB 2Y USD Range Accrual Note S736 14DEC09	CA40763	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	506069	HKD	1,000,000.00	USD	67,224.73	14DEC09	USD	576.04	USD	756.33	USD	430.20
LB 2Y USD Range Accrual Note S736 14DEC09	CA40763	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	506078	USD	140,000.00	USD	71,724.73	23NOV09	USD	614.22	USD	806.46	USD	458.71
LB 2Y USD Arbrg Range Accrual Note S891 23NOV09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507083	USD	170,000.00	USD	85,961.28	23NOV09	USD	821.78	USD	1,078.98	USD	613.72
LB 2Y USD Range Accrual Note S776 22OCT09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507083	USD	200,000.00	USD	88,794.87	21DEC09	USD	760.41	USD	998.40	USD	567.88
LB 2Y HKD Arbrg Range Accrual Note S776 22OCT09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507083	USD	500,000.00	USD	253.62	23NOV09	USD	219.36	USD	288.02	USD	163.82
LB 2Y USD Arbrg Range Accrual Note S776 22OCT09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507083	HKD	500,000.00	USD	30,671.93	21DEC09	USD	339.73	USD	446.66	USD	255.73
LB 2Y USD Range Accrual Note S736 14DEC09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507083	USD	1,000,000.00	USD	55,303.00	21DEC09	USD	471.88	USD	619.57	USD	355.41
LB 2Y USD Arbrg Range Accrual Note S776 22OCT09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	507079	USD	120,000.00	USD	60,151.45	23NOV09	USD	592.19	USD	777.53	USD	442.25
LB 2Y USD Range Accrual Note S776 22OCT09	CA40556	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	508885	HKD	1,500,000.00	USD	119,015.70	23OCT09	USD	1,017.21	USD	1,338.20	USD	761.16
LB 2Y HKD Arbrg Range Accrual Note S889 23NOV09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	508885	USD	110,000.00	USD	56,355.14	23NOV09	USD	482.60	USD	633.65	USD	360.41
LB 2Y USD Arbrg Range Accrual Note S889 23NOV09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	508908	USD	130,000.00	USD	71,265.13	23NOV09	USD	600.77	USD	800.02	USD	455.39
LB 2Y USD Arbrg Range Accrual Note S889 23NOV09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	508909	USD	1,000,000.00	USD	37,128.44	09NOV09	USD	317.95	USD	417.46	USD	237.45
LB 2Y USD Arbrg Range Accrual Note S889 23NOV09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	509044	USD	100,000.00	USD	67,260.22	14DEC09	USD	575.04	USD	756.33	USD	430.20
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	512520	USD	75,000.00	USD	34,897.06	05MAR09	USD	1,155.21	USD	1,516.77	USD	862.73
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	512520	USD	200,000.00	USD	85,556.89	05NOV09	USD	735.68	USD	961.99	USD	547.17
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	512520	USD	140,000.00	USD	59,113.81	05NOV09	USD	506.22	USD	644.66	USD	378.06
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	512520	USD	220,000.00	USD	126,777.66	23NOV09	USD	1,085.68	USD	1,425.47	USD	810.80
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	65,000.00	USD	65,000.00	22MAR11	USD	5,569.16	USD	7,310.85	USD	4,115.70
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	540,000.00	USD	276,652.53	23NOV09	USD	2,369.16	USD	3,110.65	USD	1,769.33
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	500,000.00	USD	291,810.13	05MAR09	USD	2,499.02	USD	3,281.15	USD	1,866.31
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	960,000.00	USD	541,898.97	23NOV09	USD	4,460.65	USD	6,093.06	USD	3,466.71
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	150,000.00	USD	87,544.84	05MAR09	USD	749.70	USD	984.34	USD	559.89
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	60,000.00	USD	26,638.46	22OCT09	USD	228.12	USD	299.52	USD	170.36
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	500,000.00	USD	34,609.38	22OCT09	USD	296.38	USD	389.14	USD	221.34
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	200,000.00	USD	93,471.42	27OCT09	USD	800.46	USD	1,050.98	USD	597.70
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	140,000.00	USD	68,407.24	29DEC09	USD	585.81	USD	769.16	USD	437.49
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	150,000.00	USD	71,724.73	23NOV09	USD	614.22	USD	806.46	USD	458.71
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	80,000.00	USD	36,377.55	23NOV09	USD	311.52	USD	409.02	USD	232.65
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	70,000.00	USD	40,714.92	29DEC09	USD	318.84	USD	458.02	USD	260.52
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	280,000.00	USD	119,779.23	05NOV09	USD	1,025.75	USD	1,346.78	USD	766.64
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	20,000.00	USD	10,954.64	23NOV09	USD	93.81	USD	123.17	USD	70.06
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	100,000.00	USD	55,203.62	22OCT09	USD	472.74	USD	620.76	USD	353.05
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	220,000.00	USD	102,818.56	27OCT09	USD	880.50	USD	1,156.08	USD	657.87
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	800,000.00	USD	52,068.81	05NOV09	USD	405.91	USD	651.12	USD	374.35
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	200,000.00	USD	85,556.89	05NOV09	USD	732.68	USD	961.99	USD	547.17
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	1,000,000.00	USD	74,465.41	02MAR09	USD	637.60	USD	837.28	USD	476.24
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	50,000.00	USD	22,108.72	21DEC09	USD	199.10	USD	249.00	USD	141.97
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	40,000.00	USD	20,492.78	23NOV09	USD	175.49	USD	230.11	USD	131.06
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	50,000.00	USD	23,364.42	27OCT09	USD	209.08	USD	262.70	USD	149.42
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	580,000.00	USD	37,375.42	02NOV09	USD	320.92	USD	421.37	USD	249.67
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	90,000.00	USD	55,526.96	05MAR09	USD	449.82	USD	590.60	USD	315.93
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	20,000.00	USD	8,879.49	21DEC09	USD	76.04	USD	99.84	USD	56.78
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	40,000.00	USD	22,061.45	22OCT09	USD	189.09	USD	248.28	USD	141.22
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	30,000.00	USD	14,029.71	27OCT09	USD	120.06	USD	157.64	USD	89.66
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	120,000.00	USD	56,073.75	27OCT09	USD	480.19	USD	636.48	USD	358.61
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	1,030,000.00	USD	58,659.21	18JAN10	USD	502.26	USD	650.45	USD	375.09
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	100,000.00	USD	58,192.74	29DEC09	USD	498.34	USD	654.31	USD	372.17
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	150,000.00	USD	70,092.19	27OCT09	USD	600.24	USD	788.11	USD	448.27
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	500,000.00	USD	33,633.11	14DEC09	USD	288.02	USD	378.16	USD	215.10
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	620,000.00	USD	42,915.63	22OCT09	USD	367.51	USD	482.53	USD	274.40
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	40,000.00	USD	20,678.48	27OCT09	USD	177.08	USD	232.96	USD	132.24
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	100,000.00	USD	46,826.94	04JAN10	USD	326.51	USD	429.48	USD	249.48
LB 2Y USD Range Accrual Note S776 22OCT09	CA35040	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	513172	USD	130,000.00	USD	74,914.07	23NOV09	USD	441.54	USD	582.32	USD	349.11

LB 1Y USD Daily Callable Fixed Coupon Note \$903 11MAR09	X90350571377	CX1854	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57257	USD	130,000,000	USD	74,550,666	11MAR09	USD	638,48	USD	838,57	USD	476,98
LB 2Y USD Range Accrual Note \$797 27OCT09	X90326608279	CA16414	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57259	USD	130,000,000	USD	60,350,42	27OCT09	USD	520,29	USD	683,14	USD	388,56
LB 2Y USD Range Accrual Note \$849 17DEC09	X90336415913	CA40879	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57260	USD	50,000,000	USD	14,664,14	17DEC09	USD	125,55	USD	93,76	USD	93,76
LB 2Y USD Range Accrual Note \$891 23NOV09	X90331400536	CA64544	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57262	USD	70,000,000	USD	35,862,36	23NOV09	USD	207,11	USD	403,23	USD	229,35
LB 2Y USD Range Accrual Note \$877 23NOV09	X90331504745	CA64546	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57263	USD	30,000,000	USD	13,641,58	23NOV09	USD	116,82	USD	153,38	USD	147,49
LB 2Y USD Range Accrual Note \$854 29DEC09	X90336645089	CA64823	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57264	USD	150,000,000	USD	68,497,24	29DEC09	USD	585,81	USD	709,16	USD	437,49
LB 2Y USD Range Accrual Note \$806 27OCT09	X90326608436	CA64512	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57265	USD	100,000,000	USD	46,728,13	27OCT09	USD	400,16	USD	525,40	USD	298,84
LB 2Y USD Range Accrual Note \$859 29DEC09	X90326727909	CA64817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57266	USD	210,000,000	USD	122,204,75	29DEC09	USD	1,046,52	USD	1,374,06	USD	781,56
LB 2Y HKD Range Accrual Note \$776 22OCT09	X90325780084	CA64539	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57269	HKD	1,000,000,000	USD	68,218,76	22OCT09	USD	592,76	USD	778,29	USD	442,68
LB 2Y AUD Range Accrual Note \$839 05NOV09	X90327940929	CA73901	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57270	USD	50,000,000	USD	28,225,90	05NOV09	USD	241,70	USD	317,34	USD	190,50
LB 2Y USD Range Accrual Note \$778 22OCT09	X90325841368	CA73899	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57272	USD	70,000,000	USD	29,944,81	22OCT09	USD	256,43	USD	336,69	USD	181,51
LB 2Y HKD Range Accrual Note \$905 18AUG10	X90339500376	CA73924	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57718	HKD	500,000,000	USD	38,642,54	22OCT09	USD	339,92	USD	434,49	USD	247,13
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608407	CA31941	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57719	HKD	500,000,000	USD	28,470,98	18AUG10	USD	243,81	USD	320,12	USD	182,08
LB 2Y TSY CNY Booster Himala 3 Note USD 22MAR11	X90339500376	CA31882	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57719	HKD	500,000,000	USD	55,151,54	27OCT09	USD	455,17	USD	597,63	USD	339,93
LB 2Y USD Range Accrual Note \$936 14DEC09	X90339799485	CA38393	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57721	USD	300,000,000	USD	11,388,39	18AUG10	USD	97,52	USD	128,05	USD	72,83
LB 2Y HKD Range Accrual Note \$902 30NOV09	X903313213807	CA31750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57722	USD	65,000,000	USD	65,000,000	22MAR11	USD	566,63	USD	730,85	USD	415,70
LB 2Y USD Range Accrual Note \$806 27OCT09	X90326608436	CA31956	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57751	HKD	800,000,000	USD	57,008,81	30NOV09	USD	495,91	USD	651,12	USD	370,35
LB 2Y USD Range Accrual Note \$854 29DEC09	X90336645089	CA31895	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57752	USD	80,000,000	USD	37,382,60	27OCT09	USD	320,13	USD	429,32	USD	239,07
LB 2Y USD Range Accrual Note \$790 31DEC09	X90337553688	CA31817	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57753	USD	60,000,000	USD	27,362,90	29DEC09	USD	244,32	USD	307,66	USD	174,99
LB 2Y USD Range Accrual Note \$926 25JAN10	X90341166082	CA31738	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	57754	USD	30,000,000	USD	14,002,98	31DEC09	USD	126,68	USD	158,46	USD	90,13
LB 1Y HKD Daily Callable Fixed Coupon Note \$978 02MAR09	X90340512250	609262	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60811	HKD	600,000,000	USD	13,091,36	25JAN10	USD	112,11	USD	147,19	USD	83,72
LB 2Y USD Range Accrual Note \$888 23NOV09	X90331769454	CA64548	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60815	USD	210,000,000	USD	44,965,69	05MAR09	USD	385,07	USD	505,59	USD	287,57
LB 1Y HKD Daily Callable Fixed Coupon Note \$974 02MAR09	X90339799485	CA31750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60836	HKD	210,000,000	USD	16,816,55	23NOV09	USD	985,02	USD	1,293,31	USD	735,63
LB 2Y USD Range Accrual Note \$936 14DEC09	X90339799485	CA31750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60837	HKD	700,000,000	USD	52,125,79	14DEC09	USD	144,01	USD	189,08	USD	107,55
LB 2Y USD Range Accrual Note \$949 29DEC09	X90336627909	CA38708	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60837	HKD	700,000,000	USD	82,129,11	02MAR09	USD	440,38	USD	586,99	USD	333,37
LB 2Y USD Range Accrual Note \$888 23NOV09	X90331769454	CA64548	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60842	USD	150,000,000	USD	7,717,51	29DEC09	USD	717,51	USD	981,47	USD	588,25
LB 2Y HKD Range Accrual Note \$936 14DEC09	X90339799485	CA31750	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60846	HKD	890,000,000	USD	82,129,11	23NOV09	USD	703,59	USD	923,79	USD	525,45
LB 2Y HKD Range Accrual Note \$947 21DEC09	X90335743395	CA4831	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60853	HKD	1,000,000,000	USD	55,003,30	14DEC09	USD	512,68	USD	673,13	USD	382,87
LB 1Y USD Daily Callable Fixed Coupon Note \$881 05MAR09	X90340511872	CA47257	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60854	USD	500,000,000	USD	20,816,13	21DEC09	USD	471,88	USD	619,57	USD	352,41
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608436	CA60232	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60914	HKD	250,000,000	USD	13,775,83	05MAR09	USD	2,490,02	USD	3,251,15	USD	1,866,31
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90335743395	CA60232	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60914	HKD	250,000,000	USD	13,775,83	27OCT09	USD	546,20	USD	717,15	USD	407,91
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90335743395	CA60232	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60915	HKD	600,000,000	USD	43,431,61	30NOV09	USD	371,93	USD	488,34	USD	277,76
LB 2Y HKD Range Accrual Note \$840 09NOV09	X903294332348	609247	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60916	USD	1,200,000,000	USD	40,554,12	09NOV09	USD	381,54	USD	500,96	USD	284,94
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608436	609241	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60917	HKD	650,000,000	USD	41,990,50	27OCT09	USD	591,72	USD	776,92	USD	441,90
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608436	609240	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60918	HKD	890,000,000	USD	53,503,16	27OCT09	USD	458,16	USD	601,86	USD	342,16
LB 2Y HKD Range Accrual Note \$776 22OCT09	X90325780084	609238	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60919	HKD	1,000,000,000	USD	74,786,26	22OCT09	USD	640,18	USD	840,55	USD	478,10
LB 1Y USD Daily Callable Fixed Coupon Note \$981 05MAR09	X90340511872	609241	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60920	HKD	1,500,000,000	USD	11,015,70	23OCT09	USD	1,010,21	USD	1,338,20	USD	761,16
LB 2Y USD Range Accrual Note \$804 18JAN10	X9033960293	609258	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60921	USD	130,000,000	USD	75,872,14	05MAR09	USD	649,74	USD	853,10	USD	485,24
LB 2Y USD Range Accrual Note \$790 31DEC09	X90337553688	609258	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60922	USD	310,000,000	USD	136,591,02	18JAN10	USD	1,169,72	USD	1,555,81	USD	873,59
LB 2Y USD Range Accrual Note \$849 29DEC09	X90336627909	609247	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60923	USD	60,000,000	USD	28,185,97	21DEC09	USD	241,37	USD	316,92	USD	180,26
LB 2Y USD Range Accrual Note \$854 29DEC09	X90336627909	609245	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60924	USD	70,000,000	USD	40,734,92	29DEC09	USD	348,84	USD	458,02	USD	260,52
LB 2Y USD Range Accrual Note \$899 23NOV09	X903362580229	609254	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60925	USD	100,000,000	USD	95,770,14	29DEC09	USD	820,14	USD	1,078,83	USD	612,42
LB 2Y USD Range Accrual Note \$888 23NOV09	X90331769454	609246	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60926	USD	190,000,000	USD	84,565,13	21DEC09	USD	722,39	USD	948,48	USD	539,49
LB 2Y USD Range Accrual Note \$800 23NOV09	X90331769454	609244	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60928	USD	50,000,000	USD	28,813,10	23NOV09	USD	246,74	USD	323,97	USD	184,27
LB 2Y USD Range Accrual Note \$797 27OCT09	X90331400027	609243	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60930	USD	80,000,000	USD	43,818,54	23NOV09	USD	375,24	USD	492,69	USD	280,24
LB 2Y USD Range Accrual Note \$778 22OCT09	X90326608279	609237	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	60931	USD	50,000,000	USD	28,225,90	23NOV09	USD	241,70	USD	317,34	USD	180,50
LB 2Y USD Range Accrual Note \$804 29OCT09	X90326608436	CA64517	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61310	HKD	150,000,000	USD	70,103,56	27OCT09	USD	600,34	USD	788,23	USD	448,34
LB 2Y USD Range Accrual Note \$814 29OCT09	X90326608436	CA73897	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61319	USD	100,000,000	USD	150,000,000	22OCT09	USD	1,181,86	USD	1,551,76	USD	882,63
LB 2Y USD Range Accrual Note \$836 06NOV09	X90326608436	CA73905	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61449	USD	320,000,000	USD	150,815,76	29OCT09	USD	1,291,53	USD	1,695,76	USD	964,54
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608436	CA64523	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61450	USD	300,000,000	USD	122,725,17	06NOV09	USD	1,050,98	USD	1,379,91	USD	784,88
LB 2Y HKD Range Accrual Note \$804 29OCT09	X90326608436	CA64517	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61512	HKD	500,000,000	USD	53,151,51	27OCT09	USD	455,17	USD	597,63	USD	359,93
LB 2Y HKD Range Accrual Note \$800 23NOV09	X90331400027	CA80830	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	61519	USD	130,000,000	USD	64,268,90	29OCT09	USD	524,68	USD	688,90	USD	391,84
LB 2Y HKD Range Accrual Note \$800 23NOV09	X90331400027	CA80830	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	62914	USD	70,000,000	USD	36,513,47	23NOV09	USD	338,38	USD	444,28	USD	252,70
LB 2Y HKD Range Accrual Note \$800 23NOV09	X90331769454	CA8075	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	62915	HKD	400,000,000	USD	37,177,52	23OCT09	USD	271,79	USD	356,85	USD	202,97
LB 2Y HKD Range Accrual Note \$800 23NOV09	X90331769454	CA8075	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	62916	USD	400,000,000	USD	23,650,90	27OCT09	USD	197,19	USD	269,17	USD	147,41
LB 2Y HKD Range Accrual Note \$954 29DEC09	X90336645089	CA60910	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	62937	USD	190,000,000	USD	86,494,17	29DEC09	USD	742,03	USD	967,27	USD	554,16
LB 2Y HKD Range Accrual Note \$796 27OCT09	X90326608436	CA6552	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	64070	HKD	600,000,000	USD	63,781,81	27OCT09	USD	546,20	USD	717,15	USD	407,91
LB 2Y USD Range Accrual Note \$801 23NOV09	X90331400530	CA55328	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	64857	USD	130,000,000	USD	66,001,53	23NOV09	USD	570,35	USD	748,86	USD	425,95
LB 2Y HKD Range Accrual Note \$779 23OCT09	X90326626498	CA31710	Lehman Brothers Treasury, Co BV	Lehman Brothers Holdings Inc	67148	HKD	1,000,000,000	USD	79,443,80	23OCT09	USD	679,47	USD	892,13	USD	507,44

Schedule 2

Copies of LBHI Proofs of Claim

DB Ref: 19831

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000061312	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) SUNG, SHEK WING Flat F, 49/F, Tower 1, 33 Tsing King Road, Tsing Yi, N.T., Hong Kong Telephone number: (852) 6289-4291 Email Address: swsunghk@yahoo.com.hk		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Creditor holds HKD 500,000 (equivalent to USD 64,181.56 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined. Amount of Claim: \$ _____ (as statement above) (Required)			
<input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.			
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): <u>XS0326866307</u> (Required)			
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: <u>CA46523</u> (Required)			
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: <u>89529</u> (Required)			
5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.		FOR COURT USE ONLY <div style="border: 2px solid black; padding: 10px; width: fit-content; margin: 0 auto;"> FILED / RECEIVED NOV 02 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC </div>	
Date: October 22 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. <div style="text-align: center;">  Mr. SUNG Shek Wing </div>		

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 20D2(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

BY AIR MAIL
航空郵件

R HONG KONG
RB 026 871 866 HK

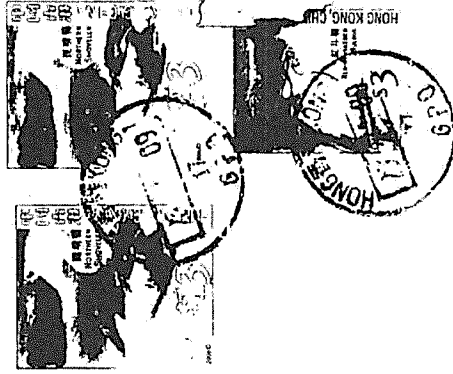


1001732053



0055

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA




AIR MAIL
AIR AVION 空



Sender: SUNG, Shuk Wing
Flat F, 4/F, Tower 1
33 Tsing King Road
Tsing Yi
N.T.
HONG KONG

RECEIVED



United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al, 08-13555 (JMP) 0000051319	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) HO NG SIU MAN Flat B, 12/F., Elegant Garden, 11 Conduit Road, Central, MidLevel, Hong Kong. (852) 2501 0369 / Telephone number: (852) 9859 3881 Email Address: Nil		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 130,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p>			
<p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326865671 (Required)</p>			
<p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA64517 (Required)</p>			
<p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p>			
<p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <p>Date: 27 Oct 2009</p> <p>Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.</p> <p>何任服受</p>		<p>FOR COURT USE ONLY</p> <p>FILED / RECEIVED</p> <p>NOV 02 2009</p> <p>EPIQ BANKRUPTCY SOLUTIONS, LLC</p>	

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station PO Box 5076
New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.cchman-docket.com> as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

KatharineCheung

Clearstream Banking - CreationOnline

5:53 AM CET

Security Post Release	
Trade Details	
Type of Settlement Transaction	Securities Blocking
Pool Reference	
Function of Message	New Message
Instruction Type	Receive Free
Activity-Status	Settlement-Settled
ISIN Code	XS0326865671
Common Code	032686567
Financial Instrument Description	USD 35,04 LEHMAN BROS.TREAS 07-2009
Place of Safekeeping	IRVTGB2XGPT
Common Code Type	Single
Reason-Narrative	
Sender's Reference	NONREF
Last Update	10/13/09 5:39 AM
Previous Reference	
Deal Reference	
Common Reference	
Safekeeping Account	89529 - ABN AMRO (HK) NOM-CONS. BKING CLT
Quantity of Financial Instrument	130,000
Quantity Type	Face Amount
Processing Indicator	
Requested Settlement Date	10/13/09
Trade Date/Time	10/13/09
Closing Date	
Priority	Normal Priority
Settlement Amount	
Currency	
Guaranteed Delivery	No
Deal Price	
Deal Price Currency	
Deal Price Format	
Taxable Income Per Share	
Taxable Income Per Share Currency	
Taxable Income Per Share Format	
FX Currency	
Delivery Without Matching	Yes
Deal	
Trano	CA64517
Common	
Transaction Indicator	Settlement Activity
Actual Settled Amount	
Exp/Eff. Settlement Date	10/13/09
Exp/Eff. Value Date	
Best Matching Instruction	
Settlement Parties	
Clearstream Depository	[6B] BKNY MELLON, LDN
BIC Address	IRVTGB2XGPT
Place of Settlement	CEDELULLXXX - Clearstream
Physical Transaction	No
Immediate Release	No
Delivering Agent	Clearstream
Party	89529
Description	UNPUBLISHED
Settlement parties :	
Receiving Agent	Clearstream - 89529
Settlement Processing Narrative	CONSBLOK 000032686567 OCE CONSENT YOUR INX
Additional Information	
Input Media	Internal
References:	

610

KatharineCheung

Clearstream Banking - CreationOnline

5:53 AM CET

Type	Reference
Clearstream	02M3GR00019L
CANotification	0000000065490318

Royal Preferred Banking



ABN AMRO Bank N.V.

HO NG SIU MAN
FLAT B 12/F ELEGANT GARDEN
11 CONDUIT ROAD CENTRAL MID-LEVEL HK

Contact : ALEX HUNG (PP)
聯絡人

Telephone : 36977820
電話號碼

Date : 12/10/07
日期

Contract Note - Structured Product Subscription

結構性產品認購交易單據

The details of transaction are as follows:
交易詳情如下:

Investment A/C No. 投資帳戶號碼	: 9807391
Product Name 產品名稱	: LB 2Y USD Range Accrual Note S804 29OCT09
Product / ISIN Code 產品/國際證券號碼	: 07PLE804QU
Issuer 發行商	: LEHMAN BROTHERS TREASURY CO BV
Underlying 相關資產	: PETROCHINA CO LTD -H CHINA COMMUNICATIONS CONST CO-H CHINA LIFE INSURANCE CO LTD -H
Coupon Rate (%) 票面息率	: Please refer to the Term Sheet (其細節載於條件單)
Trade Date 交易日	: 12/10/07
Issue Date 發行日	: 29/10/07
Maturity Date 到期日	: 29/10/09
Nominal Amount 面額	: USD 130,000.00
Purchase Price (%) 購入價百分比	: 100.00
Purchased Amount 購入金額	: USD 130,000.00
Commission 佣金	: USD 0.00
Total Settlement Amount 總結算金額	: USD 130,000.00
Settlement A/C No. 結算帳戶號碼	: 0101380254
Settlement Date 結算日	: 29/10/07

Remarks.

- ABN AMRO N.V. (the "Bank") is acting as principal in the above-mentioned transaction.
- This Contract Note should be read in conjunction with the product termsheet of the Structured Product.
- 備註:
- 荷蘭銀行(下稱「本銀行」)為上述交易之當事人。
- 此確認書必須與產品條款單一併閱讀。

This is computer-generated document, no signature is required.
此乃電腦系統所發之文件,毋須簽署。

Remarks:

1. As soon as practicable after the Bank has entered into a Transaction with or for your Account, the Bank may send to you an advice, contract note or confirmation of such Transaction. Such advice, contract note or confirmation is provided by the Bank for record purposes only. Any instructions given or authorised, if accepted by the Bank, are accepted at the time of the same being given or authorised and not at the time of the issue of the contract note or confirmation.
2. You shall examine all advices, contract notes or confirmations as soon as you receive them and if you do not receive them within 7 days of entering into a Transaction, you shall immediately notify the Bank and request a copy. Except for discrepancies of which you notify the Bank within 90 days from the date of such advice, contract note or confirmation, the Bank's records shall be deemed correct and binding on you (subject to the Bank's right to adjust, which may be exercised by the Bank at any time, any entries in the Account or details in the advice, contract note or confirmation where they have been wrongly or mistakenly made by the Bank).
3. If you make any Transaction relating to or otherwise accept by conduct, the Transaction recorded in such advice, contract note or confirmation, the recorded Transaction is treated as conclusive against you notwithstanding the relevant period for raising objection has not expired.
4. If you change your mailing address, kindly notify ABN AMRO Bank N.V. in writing.
5. The price of investment products can and does fluctuate, and that any individual investment products may experience upward or downward movements, and may even become valueless. There is an inherent risk that losses may be incurred rather than profit made as a result of buying and selling investment products.
6. Except as otherwise indicated in the offering materials for a particular investment, investments are not deposits or other obligations of, or guaranteed or insured by ABN AMRO Bank N.V. or any of their affiliates or subsidiaries, or by any local government or insurance agency, and are subject to investment risks, including possible loss of the principal amount invested.
7. Securities Trading Hours
Hong Kong Stocks: Monday to Friday, 10:00 a.m. to 12:30 p.m., 2:30 p.m. to 4:00 p.m.
Asian Stocks: Monday to Friday, 9:00 a.m. to 5:00 p.m. (Hong Kong Business Day)
8. ABN AMRO Bank N.V. Incorporated in Netherlands with limited liability.
9. ABN AMRO Bank N.V. address: 17/F Lincoln House, Taikoo Place, 979 King's Road, Quarry Bay, Hong Kong.
10. ABN AMRO Bank N.V. acts as an intermediary unless specified, ABN AMRO (Hong Kong) Nominees Ltd. (a 100% owned subsidiary of ABN AMRO Bank N.V. providing custodian and nominee services) acts as an associated entity of the intermediary.
11. In case of discrepancy between the English and Chinese version, the English version shall prevail.

備註:

1. 本銀行與你的賬戶或為你的賬戶進行一項交易後，將在可行情況下盡快將交易單據、合約單據或交易確認書送交給你。本銀行提供的此等交易單據、合約單據或確認書只作記錄之用。客戶發出或授權發出的指示，一經本銀行接受，即以發出或授權發出的時候（而非有關交易單據或確認書發出的時間）為接受指示的時間。
2. 你收到任何交易單據、合約單據或確認書時須立即核對；若你在訂立交易後7天內仍未收到此等交易單據、合約單據或確認書，你須立即通知本銀行，並要求一份副本。除非你在此交易單據、合約單據或確認書日期起90天內，通知本銀行任何錯誤，本銀行的記錄即被視為正確，並對你有約束力（但本銀行有權調整其錯誤作出的任何賬戶登錄或交易單據、合約單據或確認書資料，而本銀行可隨時行使此項權利）。
3. 若你進行此等交易單據、合約單據或確認書上記錄的交易或通過行為採納此等交易，則此等已記錄的交易對你而言是屬於確定性的，而不論提出異議的有關期限是否已屆滿。
4. 閣下之通訊地址如有更改，請以書面通知本行。
5. 投資產品價格可能會及確實會波動，而且任何一受投資產品的價格都可能會上升及下降，甚至變成毫無價值。買賣投資產品有其內在的風險，所以未必一定能夠賺取利潤，反而可能會招致損失。
6. 投資並非一般存款，且存在風險，包括損失本金。再者，一般投資並未獲得ABN AMRO Bank N.V.或其任何附屬或聯營公司，或任何國家之當地政府，或保險公司的保證或擔保，除非其保證已列明於有關之認購章程中。
7. 股票交易時間
港股：星期一至星期五，早上十時至下午十二時三十分，下午二時三十分至下午四時正
亞洲股票：星期一至星期五，早上九時至下午五時（香港辦公日）
8. 荷蘭銀行是一家於荷蘭註冊成立的有限責任公司。
9. 荷蘭銀行地址：香港灣仔魚涌英皇道979號太古坊林煒大廈17樓。
10. 如非特別指明，荷蘭銀行將作為中介者。ABN AMRO (Hong Kong) Nominees Ltd. (從事提供託管及代理服務的荷蘭銀行全資附屬公司)作為中介者的聯繫實體。
11. 中文譯本如含義與英文有異，則以英文為準。

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings
Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017

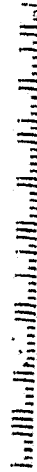
RECEIVED

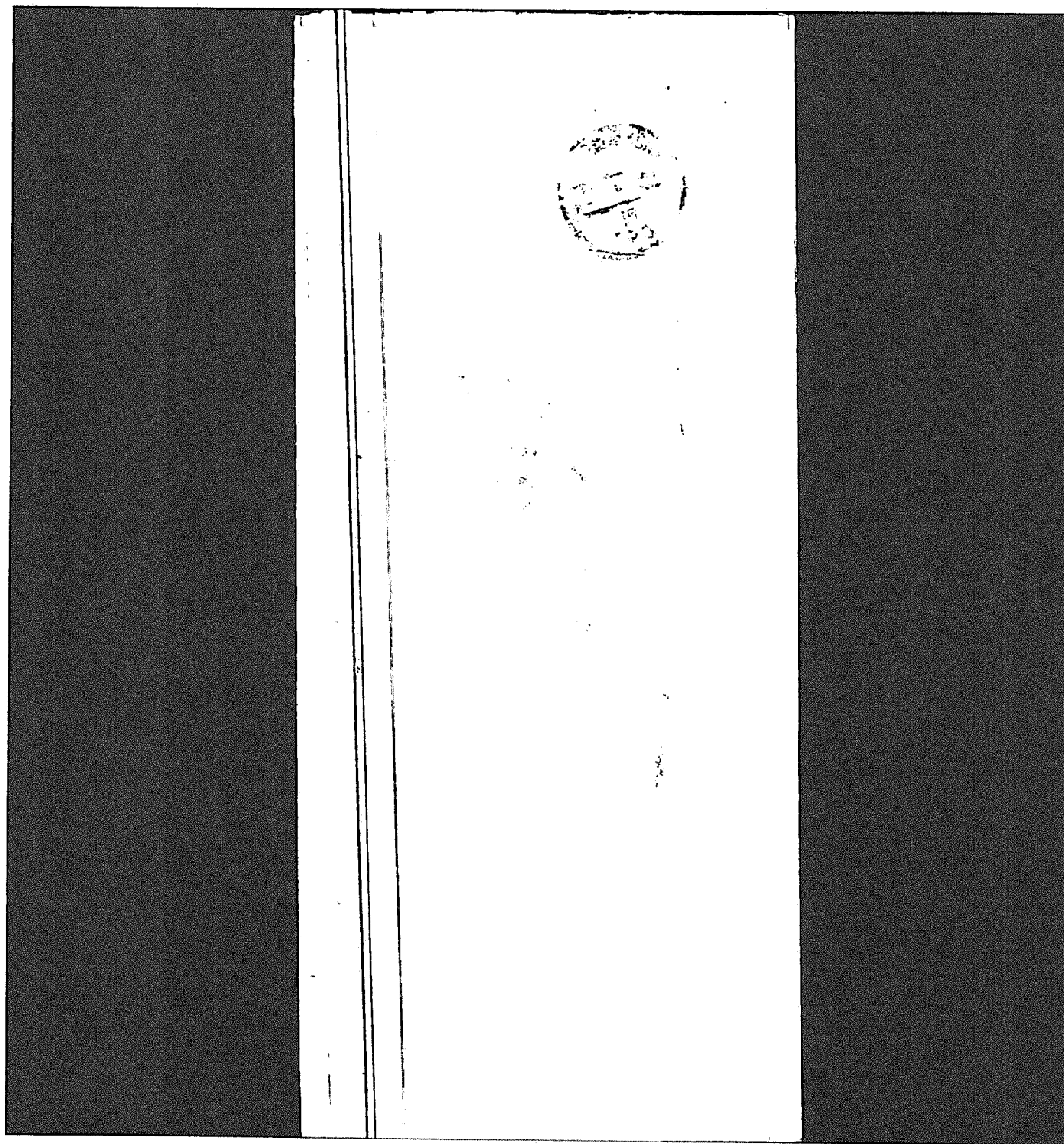
JUN 15 2009



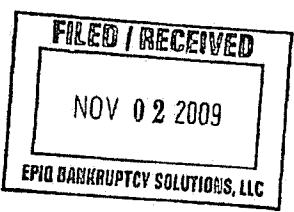
R HONG KONG
RB 022 071 539 HK



001732063 0069





United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.		Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000062934  THIS SPACE IS FOR COURT USE ONLY	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LEE SIU MUI FLAT D, 26/F, TOWER 7, YEE MEI COURT, SOUTH HORIZONS, AP LEI CHAU, HONG KONG. Telephone number: (852) 25800021 Email Address: brendasmlee@hotmail.com		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 70,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0331400027 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA38030 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: October 23, 2009		Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. LEE SIU MUI 	
		FOR COURT USE ONLY  NOV 02 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC	

United States Bankruptcy Court
Southern District of New York
Lehman Brothers Holdings Claims Processing Center
C/o Epiq Bankruptcy Solutions, LLC
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Dear Sirs,

**Re: Lehman Brothers Holdings Inc ("LBHI")
Chapter 11 Case No. 08-13555 (JMP)**

REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM

Please find enclosed a Lehman Program Securities Proof of Claim with Clearstream Bank Blocking Number CA38030, CA38075, CA37830 ("Proof of Claim"). The enclosed Proof of Claim contains the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number filed previously.

If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,

Name/s: LEE SIU MUI

Signature/s: 

Date: 23rd October, 2009

Enc.

敬啟者：

雷曼兄弟控股有限公司 (「雷曼兄弟」) (Leman Brothers Holdings Inc. ("LBHI"))
第 11 章 檔案編號：08-13555 (JMP)

修正雷曼計劃證券索償證明

隨函附上結算參考編號為 [請參閱背頁] 之雷曼計劃證券索償證明 (「索償證明」)。現附上之索償證明載有截至 2008 年 9 月 15 日的正確美元兌港元兌換率，並將取代早前可能已提交的任何同樣結算參考編號之索償證明。

如有任何疑問或需提供更多資料，請參照附奉索償證明上的聯絡資料與我 / 我們聯絡。

客戶姓名：_____ [已列印於英文版本之信函上]

_____ [已列印於英文版本之信函上]

客戶簽署：_____ [請於英文版本之信函上簽署]

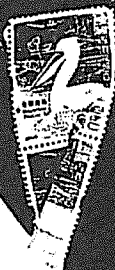
_____ [請於英文版本之信函上簽署]

日期：_____ [請於英文版本之信函上填寫]

附件

Pg 31 of 58

Post

[illegible]

United States Bankruptcy Court/Southern District of New York

Lehman Brothers Holdings Claims Processing Center
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, P.O. Box 5076
New York, NY 10150-5076

In Re: Chapter 11
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)
Debtors. (Jointly Administered)

Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009

**LEHMAN SECURITIES PROGRAMS
PROOF OF CLAIM**

Filed: USBC - Southern District of New York
Lehman Brothers Holdings Inc., Et Al.
08-13555 (JMP) 0000062935



THIS SPACE IS FOR COURT USE ONLY

Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

LEE SIU MUI

FLAT D, 26/F, TOWER 7, YEE HUI COURT,
SOUTH HORIZONS, AP LEI CHAU, HONG KONG.

Telephone number: (852) 25800021 Email Address: brendasmlee@hotmail.com

Name and address where payment should be sent (if different from above)

Telephone number: Email Address:

☐ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: _____
(If known)

Filed on: _____

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Creditor holds HKD 400,000 (equivalent to USD 51,345.25 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.

Amount of Claim: \$ _____ (as statement above) (Required)

☒ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0326026498 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

CA37830 (Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

89529 (Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date: Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

October 23, 2009

LEE SIU MUI

Brenda Mui

FOR COURT USE ONLY

FILED / RECEIVED

NOV 02 2009

EPIC BANKRUPTCY SOLUTIONS, LLC

United States Bankruptcy Court
Southern District of New York
Lehman Brothers Holdings Claims Processing Center
C/o Epiq Bankruptcy Solutions, LLC
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Dear Sirs,

Re: Lehman Brothers Holdings Inc ("LBHI")
Chapter 11 Case No. 08-13555 (JMP)

REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM

Please find enclosed a Lehman Program Securities Proof of Claim with Clearstream Bank Blocking Number CA38030, CA38075, CA37830 ("Proof of Claim"). The enclosed Proof of Claim contains the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number filed previously.

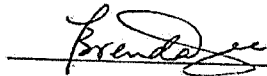
If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,

Name/s:

LEE SIU MUI

Signature/s:



Date:

23rd October, 2009

Enc.

敬啟者：

雷曼兄弟控股有限公司 (「雷曼兄弟」) (Leman Brothers Holdings Inc. ("LBHI"))
第 11 章 檔案編號：08-13555 (JMP)

修正雷曼計劃證券索償證明

隨函附上結算參考編號為 [請參閱背頁] 之雷曼計劃證券索償證明 (「索償證明」)。現附上之索償證明載有截至 2008 年 9 月 15 日的正確美元兌港元兌換率，並將取代早前可能已提交的任何同樣結算參考編號之索償證明。

如有任何疑問或需提供更多資料，請參照附奉索償證明上的聯絡資料與我 / 我們聯絡。

客戶姓名： [已列印於英文版本之信函上]


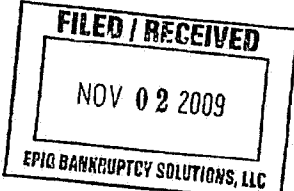
[已列印於英文版本之信函上]

客戶簽署： [請於英文版本之信函上簽署]

[請於英文版本之信函上簽署]

日期： [請於英文版本之信函上填寫]

附件

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000062936	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009		 THIS SPACE IS FOR COURT USE ONLY	
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LEE SIU MUI FLAT D. 26/F, TOWER 7, YEZ MEI COURT, SOUTH HORIZONS, AP LEI CHAU, HONG KONG.		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Telephone number: (852) 25800021 Email Address: brendasmlee@hotmail.com		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Name and address where payment should be sent (if different from above)			
Telephone number: _____ Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 40,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0331769538 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA38075 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: October 23, 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. LEE SIU MUI Brenda		
		FOR COURT USE ONLY 	

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. § 101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

United States Bankruptcy Court
Southern District of New York
Lehman Brothers Holdings Claims Processing Center
C/o Epiq Bankruptcy Solutions, LLC
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Dear Sirs,

**Re: Lehman Brothers Holdings Inc ("LBHI")
Chapter 11 Case No. 08-13555 (JMP)**

REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM

Please find enclosed a Lehman Program Securities Proof of Claim with Clearstream Bank Blocking Number CA38030, CA38075, CA37830 ("Proof of Claim"). The enclosed Proof of Claim contains the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number filed previously.

If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,

Name/s: LEE SIU MUI

Signature/s:



Date:

23rd October, 2009

Enc.

敬啟者：

雷曼兄弟控股有限公司（「雷曼兄弟」）（Leman Brothers Holdings Inc. ("LBHI")）
第 11 章 檔案編號：08-13555 (JMP)

修正雷曼計劃證券索償證明

隨函附上結算參考編號為 [請參閱背頁] 之雷曼計劃證券索償證明（「索償證明」）。現附上之索償證明載有截至 2008 年 9 月 15 日的正確美元兌港元兌換率，並將取代早前可能已提交的任何同樣結算參考編號之索償證明。

如有任何疑問或需提供更多資料，請參照附奉索償證明上的聯絡資料與我 / 我們聯絡。

客戶姓名： [已列印於英文版本之信函上]

[已列印於英文版本之信函上]

客戶簽署： [請於英文版本之信函上簽署]


[請於英文版本之信函上簽署]


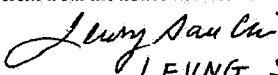
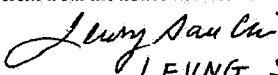
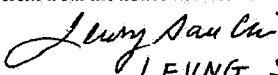
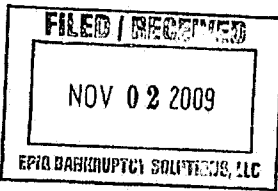
日期： [請於英文版本之信函上填寫]

附件

Post



Speed Post 特快郵遞				香港郵政 HONGKONG POST 郵政總局		EA 034 463 736 HK	
寄件人姓名 NAME AND ADDRESS OF SENDER BRENDA LEE FLAT D 26/F, Tower 2, Yee Mei Court, SOUTH HONGKONG, AP 121 CHAI, HONG KONG 香港 25 區 21		收件人姓名 NAME AND ADDRESS OF ADDRESSEE Epiq Bankruptcy Solutions, LLC Acta: Lehman Brothers Holdings Claims Processing, 757 Third Avenue, 3rd Floor, New York, NY 10017, USA		寄件人姓名 NAME OF SENDER 日期 DATE		寄件人姓名 NAME OF ADDRESSEE 日期 DATE	
1 寄件人姓名 NAME AND ADDRESS OF SENDER 2 寄件人地址 ADDRESS OF SENDER 3 寄件人地址 ADDRESS OF SENDER 4 寄件人地址 ADDRESS OF SENDER		5 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 6 寄件人地址 ADDRESS OF ADDRESSEE 7 寄件人地址 ADDRESS OF ADDRESSEE 8 寄件人地址 ADDRESS OF ADDRESSEE		9 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 10 寄件人地址 ADDRESS OF ADDRESSEE 11 寄件人地址 ADDRESS OF ADDRESSEE 12 寄件人地址 ADDRESS OF ADDRESSEE		13 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 14 寄件人地址 ADDRESS OF ADDRESSEE 15 寄件人地址 ADDRESS OF ADDRESSEE 16 寄件人地址 ADDRESS OF ADDRESSEE	
17 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 18 寄件人地址 ADDRESS OF ADDRESSEE 19 寄件人地址 ADDRESS OF ADDRESSEE 20 寄件人地址 ADDRESS OF ADDRESSEE		21 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 22 寄件人地址 ADDRESS OF ADDRESSEE 23 寄件人地址 ADDRESS OF ADDRESSEE 24 寄件人地址 ADDRESS OF ADDRESSEE		25 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 26 寄件人地址 ADDRESS OF ADDRESSEE 27 寄件人地址 ADDRESS OF ADDRESSEE 28 寄件人地址 ADDRESS OF ADDRESSEE		29 寄件人姓名 NAME AND ADDRESS OF ADDRESSEE 30 寄件人地址 ADDRESS OF ADDRESSEE 31 寄件人地址 ADDRESS OF ADDRESSEE 32 寄件人地址 ADDRESS OF ADDRESSEE	

United States Bankruptcy Court/Southern District of New York		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000062937			
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)				
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009					
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim.			
LEUNG SAU CHI FLAT 1 5/F BLK A BILLION TERRACE 137 BLUE POOL ROAD, HAPPY VALLEY, HK		Court Claim Number: _____ (If known)			
Telephone number: 90267078 Email Address: gloriagrantham@NETVIGATOR.COM		Filed on: _____			
Name and address where payment should be sent (if different from above)		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars			
SAME AS ABOVE					
Telephone number: SAME AS ABOVE Email Address: SAME AS ABOVE					
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 190,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0336645089 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA46910 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <table border="1"><tr><td>Date: 23 Oct. 2009</td><td>Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  LEUNG SAU CHI</td></tr></table>				Date: 23 Oct. 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  LEUNG SAU CHI
Date: 23 Oct. 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  LEUNG SAU CHI				
		FOR COURT USE ONLY 			

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150- 5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009:

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

Post

HONG KONG POST OFFICE SPEEDPOST 香港郵政特快專遞
Postage Label No. (郵票號碼): P01258432M (郵票號碼)
Date/Time (日期/時間): 23/10/09 12:49 Office (稅務局): GTO 稅務局
Dest. Country (寄往國): US 美國
Weight (重量): 0.031 kg (公斤) Postage Amount (已付郵費): HK\$127.00

SpeedPost 特快專遞


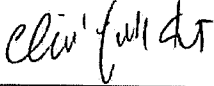
NAME AND ADDRESS OF SENDER
LEUNG SAU CHI
FLAT 1 5/F BLOCK A
BULLION TERRACE HAPPY VALLEY H.K.
HONG KONG
Tel / Fax 90267078
Country & detailed destination of consignee

NAME AND ADDRESS OF ADDRESSEE
Epic Bankruptcy Solutions LLC
Attn: Lehman Brothers Holdings Claims Recovery
757 Third Avenue, 3rd Floor
New York, NY 10017 USA
Tel / Fax
Country & detailed destination of consignee

通遞收條 DELIVERY RECEIPT
To be completed at the time of delivery
Name of recipient
Signature
Date

收件人姓名 ADDRESSSEE'S COPY
Epic Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

SEE THE FRONT PAGE FOR INSTRUCTIONS

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000064670	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) CHOI YUK KIT FLAT 14A, MING YUEN CENTER 2-6 MING YUEN WEST ST NORTH POINT HONG KONG Telephone number: [REDACTED] Email Address: [REDACTED]		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Name and address where payment should be sent (if different from above) Telephone number: _____ Email Address: _____		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 600,000 (equivalent to USD 77,017.87 at the conversion rate of USD/HKD 7.7904 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326866307 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA46522 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 27/10/2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any. 		FOR COURT USE ONLY <div style="border: 1px solid black; padding: 5px; text-align: center;">FILED / RECEIVED NOV 04 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC</div>

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150- 5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

United States Bankruptcy Court
Southern District of New York
Lehman Brothers Holdings Claims Processing Center
C/o Epiq Bankruptcy Solutions, LLC
757 Third Avenue, 3rd Floor
New York, NY 10017
USA

Dear Sirs,

**Re: Lehman Brothers Holdings Inc ("LBHI")
Chapter 11 Case No. 08-13555 (JMP)**

**REVISED LEHMAN PROGRAM SECURITIES PROOF OF CLAIM –
HKD DENOMINATED LEHMAN HOLDING**

Please find enclosed Lehman Program Securities Proof of Claim/s ("Proof of Claim") with Clearstream Bank Blocking Number/s below:

CA46522

The enclosed Proof of Claim/s contain the correct USD/HKD conversion rate as at 15 September 2008 and shall supersede any Proof of Claim with the same Clearstream Bank Blocking Number/s filed previously.

If you have any questions, or require any further information, please do not hesitate to contact me/us using the contact details stated in the enclosed revised Proof of Claim.

Yours faithfully,

Name/s: CHOI YUK KIT

Signature/s: Choi Yuk Kit

Date: 27 Oct 2009

Enc.

敬啟者：

雷曼兄弟控股有限公司（「雷曼兄弟」）(Leman Brothers Holdings Inc. ("LBHI"))

第 11 章 檔案編號：08-13555 (JMP)

修正雷曼計劃證券索償證明－港元認購的雷曼證券修正雷曼計劃證券索償證明

隨函附上結算參考編號為 [請參閱背頁] 之雷曼計劃證券索償證明（「索償證明」）。現附上之索償證明載有截至 2008 年 9 月 15 日的正確美元兌港元兌換率，並將取代早前可能已提交的任何同樣結算參考編號之索償證明。

如有任何疑問或需提供更多資料，請參照隨奉索償證明上的聯絡資料與我 / 我們聯絡。

客戶姓名： [已列印於英文版本之信函上]

[已列印於英文版本之信函上]

客戶簽署： [請於英文版本之信函上簽署]

[請於英文版本之信函上簽署]

日期： [請於英文版本之信函上填寫]

附件

RECEIVED

NOV 6 2016

Epiq Bankruptcy Solutions, LLC
Attn: Lehman Brothers Holdings Claims Processing
757 Third Avenue, 3rd Floor
New York, NY 10017
USA


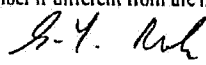
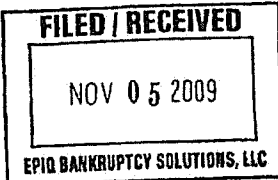
R HONG KONG
RB 026 720 605 HK



1001732063 0069

BY AIR MAIL
航空郵件



United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000064857	
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) MOK SIU YUK, EMILY		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: _____ (If known) Filed on: _____	
Telephone number: (852) 2102-5126 Email Address: emilymoksy@yahoo.com.hk Name and address where payment should be sent (if different from above)		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
Telephone number: _____ Email Address: _____			
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds USD 130,000 in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ _____ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0331400530 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA55328 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p>			
Date: 27 OCT 2009	Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.  MOK SIU YUK EMILY		
		FOR COURT USE ONLY  NOV 05 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC	

Speed Post
特快專遞

债务人姓名地址:
NAME AND ADDRESS OF ADDRESSEE
EPIQ BANKRUPTCY SOLUTIONS,
ATTN: LEHMAN BROTHERS HOLD
757 THIRD AVENUE, 3RD FLOOR
NEW YORK, NY 10017

HONGKONG POST 郵件總號 Item No EA 024 759 714 HK

NAME AND ADDRESS OF ADDRESSEE

EPIQ BANKRUPTCY SOLUTIONS, LLC
ATTN: LEHMAN BROTHERS HOLDINGS CLAIMS PROCESSING
757 THIRD AVENUE, 3RD FLOOR
NEW YORK, NY 10017

HONG KONG

1. CUSTOMS DECLARATION
 2. 貨物出口證明書
 Quantity & Detailed Description of contents

NO.	1	2	3	4
QUANTITY				
UNIT				
DESCRIPTION				

05-3133555 (中國總機)
 Fuzhai Coos (China)
 USA
 2011 / 0911
 Tel / Fax

派送收條 DELIVERY RECEIPT

(NEW) To be completed at the time of delivery

收件人姓名
Name of recipient:

6007-9

1945

1

701 公和庄

Signature Company Corp.

10

1. *Introduction*



Hongkong Pc
香港聲

United States Bankruptcy Court/Southern District of New York

Lehman Brothers Holdings Claims Processing Center
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, P.O. Box 5076
New York, NY 10150-5076

LEHMAN SECURITIES PROGRAMS
PROOF OF CLAIM

In Re: Chapter 11
Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP)
Debtors. (Jointly Administered)

Filed: USBC - Southern District of New York
Lehman Brothers Holdings Inc., Et Al.
08-13555 (JMP) 0000067148

Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on <http://www.lehman-docket.com> as of July 17, 2009



Name and address of Creditor: (and name and address where notices should be sent if different from Creditor)

FLAT 11 11/F, NO. 70, TAN HAU TEMPLE RD., VIKING VILLAS,
N. P. HONG KONG
ATT. LAM WAI SHEUNG GRACE

☒ Check this box to indicate that this claim amends a previously filed claim.

Court Claim Number: 42526
(If known)

Filed on: 10/20/2009
update New Address

Telephone number: 852-84630089 Email Address: lizzietse@netvigator.com

Name and address where payment should be sent (if different from above)

Same as above

☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.

Telephone number:

Email Address:

1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.

Amount of Claim: \$ 128,363.11 (Required)

☐ Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.

2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.

International Securities Identification Number (ISIN): XS0326026498 (Required)

3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.

Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number:

CA31710

(Required)

4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number:

89529

(Required)

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

FOR COURT USE ONLY

FILED / RECEIVED

OCT 26 2010

EPIQ BANKRUPTCY SOLUTIONS, LLC

Date:

24/10/2010

Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.

LAM WAI SHEUNG GRACE

United States Bankruptcy Court/Southern District of New York		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., et al. 08-13555 (JMP) 0000042526	
In Re: Lehman Brothers Holdings Inc., et al., Debtors.	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		
Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009			
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) LAM WAI SHEUNG GRACE 2/F., NO. 66, ROBINSON ROAD, MID-LEVEL, H. K. Telephone number: 852-94630039 Email Address:		<input type="checkbox"/> Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: 47876 (If known) Filed on: 10/20/2009	
Name and address where payment should be sent (if different from above) Telephone number: Email Address:		<input type="checkbox"/> Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars	
<p>1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.</p> <p>Creditor holds HKD 1,000,000 (equivalent to USD 128,363.11 at the conversion rate of HKD/USD 7.7804 as at September 15, 2008) in aggregate face value of the Lehman Programs Security described herein, and asserts a claim in an amount to be determined.</p> <p>Amount of Claim: \$ (as statement above) (Required)</p> <p><input checked="" type="checkbox"/> Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.</p> <p>2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.</p> <p>International Securities Identification Number (ISIN): XS0326026498 (Required)</p> <p>3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.</p> <p>Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: CA31710 (Required)</p> <p>4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.</p> <p>Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: 89529 (Required)</p> <p>5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.</p> <p>Date: 10/20/2009 Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state address and telephone number if different from the notice address above. Attach copy of power of attorney, if any.</p>			

FOR COURT USE ONLY

FILED / RECEIVED

OCT 20 2009

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

**Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150- 5076**

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on <http://www.lehman-docket.com> as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (<http://www.lehman-docket.com>) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(c), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 *et seq.*), and any applicable orders of the bankruptcy court.

Apply shipping documents on this side.

The UPS Express Envelope is to be used for document shipments only.

Cash and negotiable items are prohibited in UPS services.

1 SHIPPER'S UPS ACCOUNT NO. 4703A7		SHIPPER'S IDENTIFICATION NO. FOR CUSTOMS PURPOSES (AST. NO.)	
NAME OF SENDER L. J. LEE		TELEPHONE NO. 221 1771	
H COMPANY NAME AND ADDRESS CITIBANK N.A. - SECURITIES OPERATIONS 10/F TWO HARBOURFRONT 22 TAK FUNG STREET HONG KONG			
COUNTRY HONG KONG		POSTAL CODE	
2 RECEIVER'S UPS ACCOUNT NO.		RECEIVER'S IDENTIFICATION NO. FOR CUSTOMS PURPOSES (AST. NO.)	
NAME OF CONTACT PERSON L. J. LEE		TELEPHONE NO. 221 1771	
C COMPANY NAME AND ADDRESS E. J. LEE & CO. LTD. 757 THIRD MILE 3RD FLOOR, NE 5th ST MIAMI, FL 33136			
COUNTRY USA		POSTAL CODE 33136	
BILL SHIPPING CHARGES TO: <input checked="" type="checkbox"/> SHIPPER (S) <input type="checkbox"/> RECEIVER (R) <input type="checkbox"/> CREDIT CARD <input type="checkbox"/> INVOICED PARTY COMPANY NAME			
PAYMENT OF CHARGES POSTAL CODE 33136 COUNTRY USA			



UPS
WORLDWIDE SERVICES
WAYBILL
(non-negotiable)

3 SERVICE LEVEL	1+	1	2
EXPRESS PLUS	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
EXPRESS SAVER	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
EXPEDITED	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

SPECIAL INSTRUCTIONS

H874 1637 727

SHIPMENT INFORMATION

NO. OF PARCELS	NO. OF PARCELS FOR SHIPMENT	ZONE
1	1	1
NO. OF LARGE PARCELS IN SHIPMENT	NO. OF ADDITIONAL PARCELS IN SHIPMENT	
0	0	

DESCRIPTION OF GOODS	Doc
----------------------	-----

WEIGHT	NO. OF PARCELS
10KG	1
25KG	0

REFERENCE NO. 1	H874 1637 727
-----------------	---------------

REFERENCE NO. 2	H874 1637 727
-----------------	---------------

REFERENCE NO. 3	H874 1637 727
-----------------	---------------

REFERENCE NO. 4	H874 1637 727
-----------------	---------------

REFERENCE NO. 5	H874 1637 727
-----------------	---------------

REFERENCE NO. 6	H874 1637 727
-----------------	---------------

REFERENCE NO. 7	H874 1637 727
-----------------	---------------

REFERENCE NO. 8	H874 1637 727
-----------------	---------------

REFERENCE NO. 9	H874 1637 727
-----------------	---------------

REFERENCE NO. 10	H874 1637 727
------------------	---------------

REFERENCE NO. 11	H874 1637 727
------------------	---------------

Schedule 3

Schedule of 7th, 8th, 9th, and 10th Distributions Received From Lehman Brothers Treasury BV

DB Ref: 19881

Description of Security	ISIN/CUSIP	Issuer	Principal/Notional Amount	Admissible Amount	Issue Notional	Maturity	LBT 10th Distribution	LBT 9th Distribution	LBT 8th Distribution	LBT 7th Distribution
LB 2Y AUD Range Accrual Note \$810 05NOV09	XS0127940929	Lehman Brothers Treasury, Co BV	AUD 1,770,000,000	AUD 663,755.35	AUD 2,310,000,000	05NOV09	AUD 10,501.73	AUD 13,885.35	AUD 7,731.50	AUD 5,072.82
LB 2Y HKD Range Accrual Note \$776 22OCT09	XS0125786084	Lehman Brothers Treasury, Co BV	HKD 14,240,000,000	HKD 1,447,566.19	HKD 27,360,000,000	22OCT09	HKD 89,491.67	HKD 117,537.02	HKD 66,013.41	HKD 45,525.28
LB 2Y HKD Range Accrual Note \$779 23OCT09	XS0126026498	Lehman Brothers Treasury, Co BV	HKD 12,790,000,000	HKD 1,437,929.40	HKD 21,430,000,000	23OCT09	HKD 101,448.90	HKD 133,243.60	HKD 75,413.84	HKD 51,604.35
LB 2Y HKD Range Accrual Note \$799 27OCT09	XS0126608352	Lehman Brothers Treasury, Co BV	HKD 22,540,000,000	HKD 1,491,243.85	HKD 31,790,000,000	27OCT09	HKD 125,592.11	HKD 164,952.05	HKD 93,484.91	HKD 63,878.36
LB 2Y HKD Range Accrual Note \$796 27OCT09	XS0126606307	Lehman Brothers Treasury, Co BV	HKD 9,810,000,000	HKD 1,181,527.62	HKD 17,440,000,000	27OCT09	HKD 71,027.98	HKD 101,170.79	HKD 57,337.45	HKD 39,182.38
LB 2Y HKD Range Accrual Note \$817 02NOV09	XS0127859301	Lehman Brothers Treasury, Co BV	HKD 4,440,000,000	HKD 488,590.60	HKD 8,110,000,000	02NOV09	HKD 31,773.34	HKD 41,779.34	HKD 23,050.55	HKD 16,161.60
LB 2Y HKD Range Accrual Note \$840 06NOV09	XS0129437148	Lehman Brothers Treasury, Co BV	HKD 4,090,000,000	HKD 963,434.64	HKD 7,440,000,000	06NOV09	HKD 47,124.09	HKD 61,899.11	HKD 35,090.67	HKD 23,976.33
LB 2Y HKD Range Accrual Note \$802 30NOV09	XS0132153807	Lehman Brothers Treasury, Co BV	HKD 6,810,000,000	HKD 802,157.78	HKD 12,270,000,000	30NOV09	HKD 37,853.08	HKD 76,023.35	HKD 43,085.42	HKD 29,446.44
LB 2Y HKD Range Accrual Note \$747 21DEC09	XS0134922860	Lehman Brothers Treasury, Co BV	HKD 13,630,000,000	HKD 976,670.55	HKD 21,430,000,000	21DEC09	HKD 77,507.11	HKD 101,797.46	HKD 57,602.63	HKD 39,428.48
LB 2Y HKD Range Accrual Note \$903 18JAN10	XS0135743308	Lehman Brothers Treasury, Co BV	HKD 13,630,000,000	HKD 976,670.55	HKD 21,430,000,000	18JAN10	HKD 31,852.06	HKD 76,575.95	HKD 43,601.75	HKD 29,727.03
LB 2Y HKD Range Accrual Note \$902 18JAN10	XS01349512250	Lehman Brothers Treasury, Co BV	HKD 13,630,000,000	HKD 724,123.67	HKD 20,860,000,000	18JAN10	HKD 53,442.39	HKD 101,797.46	HKD 43,601.75	HKD 29,727.03
LB 2Y HKD Range Accrual Note \$778 23OCT09	XS0135841368	Lehman Brothers Treasury, Co BV	HKD 18,850,000,000	HKD 449,480.25	HKD 27,320,000,000	23OCT09	HKD 108,479.27	HKD 142,476.14	HKD 80,746.87	HKD 55,173.95
LB 2Y USD Range Accrual Note \$787 23OCT09	XS0126026225	Lehman Brothers Treasury, Co BV	USD 1,220,000,000	USD 1,009,481.70	USD 1,810,000,000	23OCT09	USD 8,174.97	USD 10,928.20	USD 6,167.13	USD 4,1705.60
LB 2Y USD Range Accrual Note \$797 23OCT09	XS0130608436	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 1,402,077.39	USD 1,810,000,000	23OCT09	USD 6,809.71	USD 8,975.19	USD 5,081.96	USD 4,034.54
LB 2Y USD Range Accrual Note \$798 23OCT09	XS0130608436	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 1,402,077.39	USD 1,810,000,000	23OCT09	USD 13,569.73	USD 17,884.84	USD 10,132.07	USD 6,927.16
LB 2Y USD Range Accrual Note \$806 02NOV09	XS0130608436	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 1,402,077.39	USD 1,810,000,000	02NOV09	USD 4,782.77	USD 6,302.67	USD 3,571.14	USD 2,441.54
LB 2Y USD Range Accrual Note \$804 29OCT09	XS0130608436	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 1,402,077.39	USD 1,810,000,000	29OCT09	USD 7,229.78	USD 9,828.81	USD 5,998.23	USD 3,600.18
LB 2Y USD Range Accrual Note \$802 02NOV09	XS0130608436	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 1,402,077.39	USD 1,810,000,000	02NOV09	USD 3,978.96	USD 5,244.25	USD 2,970.96	USD 2,030.56
LB 2Y USD Range Accrual Note \$836 06NOV09	XS0132746340	Lehman Brothers Treasury, Co BV	USD 1,440,000,000	USD 409,964.60	USD 940,000,000	06NOV09	USD 199.76	USD 265.28	USD 149.15	USD 101.97
LB 2Y USD Range Accrual Note \$891 23NOV09	XS0131490530	Lehman Brothers Treasury, Co BV	USD 2,020,000,000	USD 792,109.35	USD 1,670,000,000	23NOV09	USD 10,427.95	USD 13,743.99	USD 7,886.29	USD 5,322.24
LB 2Y USD Range Accrual Note \$877 23NOV09	XS0131490530	Lehman Brothers Treasury, Co BV	USD 2,020,000,000	USD 1,381,415.35	USD 2,800,000,000	23NOV09	USD 15,237.50	USD 20,382.95	USD 11,373.34	USD 7,777.00
LB 2Y USD Range Accrual Note \$888 23NOV09	XS0131769538	Lehman Brothers Treasury, Co BV	USD 6,000,000,000	USD 745,425.94	USD 1,410,000,000	23NOV09	USD 5,469.35	USD 7,340.34	USD 4,536.31	USD 2,842.80
LB 2Y USD Range Accrual Note \$849 17DEC09	XS0136250229	Lehman Brothers Treasury, Co BV	USD 2,550,000,000	USD 1,553,100.36	USD 3,780,000,000	17DEC09	USD 21,259.56	USD 27,993.64	USD 15,858.87	USD 10,840.05
LB 2Y USD Range Accrual Note \$749 21DEC09	XS0136415913	Lehman Brothers Treasury, Co BV	USD 1,590,000,000	USD 929,447.31	USD 3,380,000,000	21DEC09	USD 6,676.77	USD 9,060.74	USD 4,985.31	USD 3,407.37
LB 2Y USD Range Accrual Note \$770 31DEC09	XS0136415913	Lehman Brothers Treasury, Co BV	USD 1,400,000,000	USD 837,356.14	USD 2,060,000,000	31DEC09	USD 9,060.74	USD 11,942.02	USD 6,765.34	USD 4,625.28
LB 2Y USD Range Accrual Note \$799 29DEC09	XS0137253648	Lehman Brothers Treasury, Co BV	USD 2,250,000,000	USD 898,152.55	USD 2,750,000,000	29DEC09	USD 9,736.17	USD 12,832.23	USD 7,269.68	USD 4,969.30
LB 2Y USD Range Accrual Note \$983 04JAN10	XS0138125172	Lehman Brothers Treasury, Co BV	USD 1,410,000,000	USD 671,256.57	USD 2,110,000,000	04JAN10	USD 22,398.83	USD 29,521.54	USD 16,724.44	USD 11,432.25
LB 2Y USD Range Accrual Note \$904 18JAN10	XS0139560293	Lehman Brothers Treasury, Co BV	USD 440,000,000	USD 181,498.22	USD 580,000,000	18JAN10	USD 2,102.16	USD 2,776.63	USD 1,569.61	USD 1,073.16
LB 2Y USD Range Accrual Note \$926 25JAN10	XS0140511832	Lehman Brothers Treasury, Co BV	USD 540,000,000	USD 778,041.43	USD 2,040,000,000	25JAN10	USD 4,189.96	USD 5,522.34	USD 3,126.50	USD 2,185.30
LB 2Y USD Range Accrual Note \$903 11MAR09	XS01350571377	Lehman Brothers Treasury, Co BV	USD 2,830,000,000	USD 1,695,334.57	USD 4,280,000,000	11MAR09	USD 17,114.57	USD 22,546.91	USD 12,778.87	USD 8,736.21
LB 2 75Y CNY Bouter Humana's Note - USD 22MAR11	XS0136799845	Lehman Brothers Treasury, Co BV	USD 285,000,000	USD 4,892,088.75	USD 7,150,000,000	22MAR11	USD 3,971.07	USD 5,915.85	USD 3,218.30	USD 1,516.49

Schedule 3